

Central Role of the Brain in Stress and Adaptation:

Allostasis and Allostatic Load

Bruce S. McEwen, Ph.D.

Alfred E. Mirsky Professor
Head, Harold and Margaret Milliken Hatch
Laboratory of Neuroendocrinology

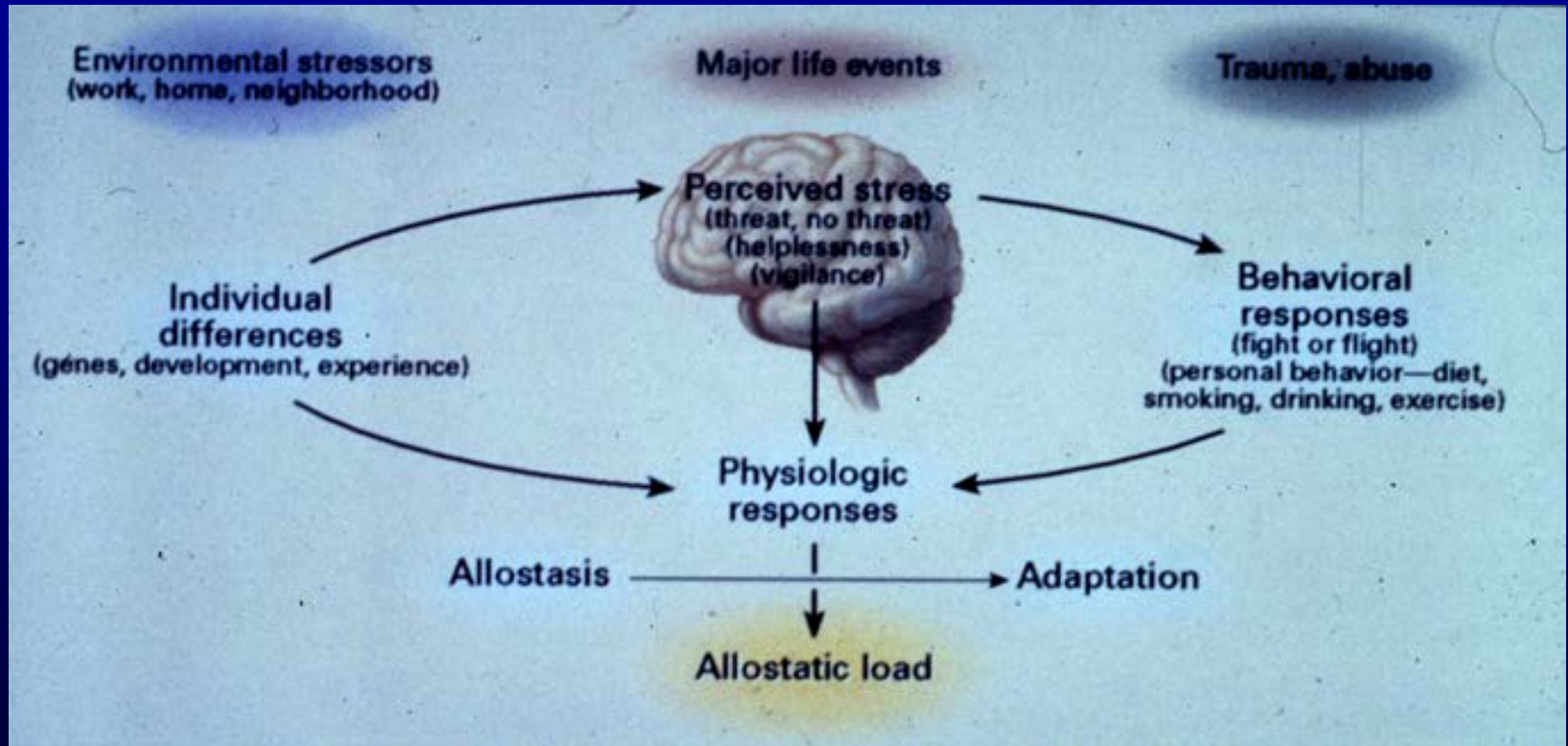
The Rockefeller University, NY



Social environment and health

Central Role of the Brain

Non-linearity abounds!!!

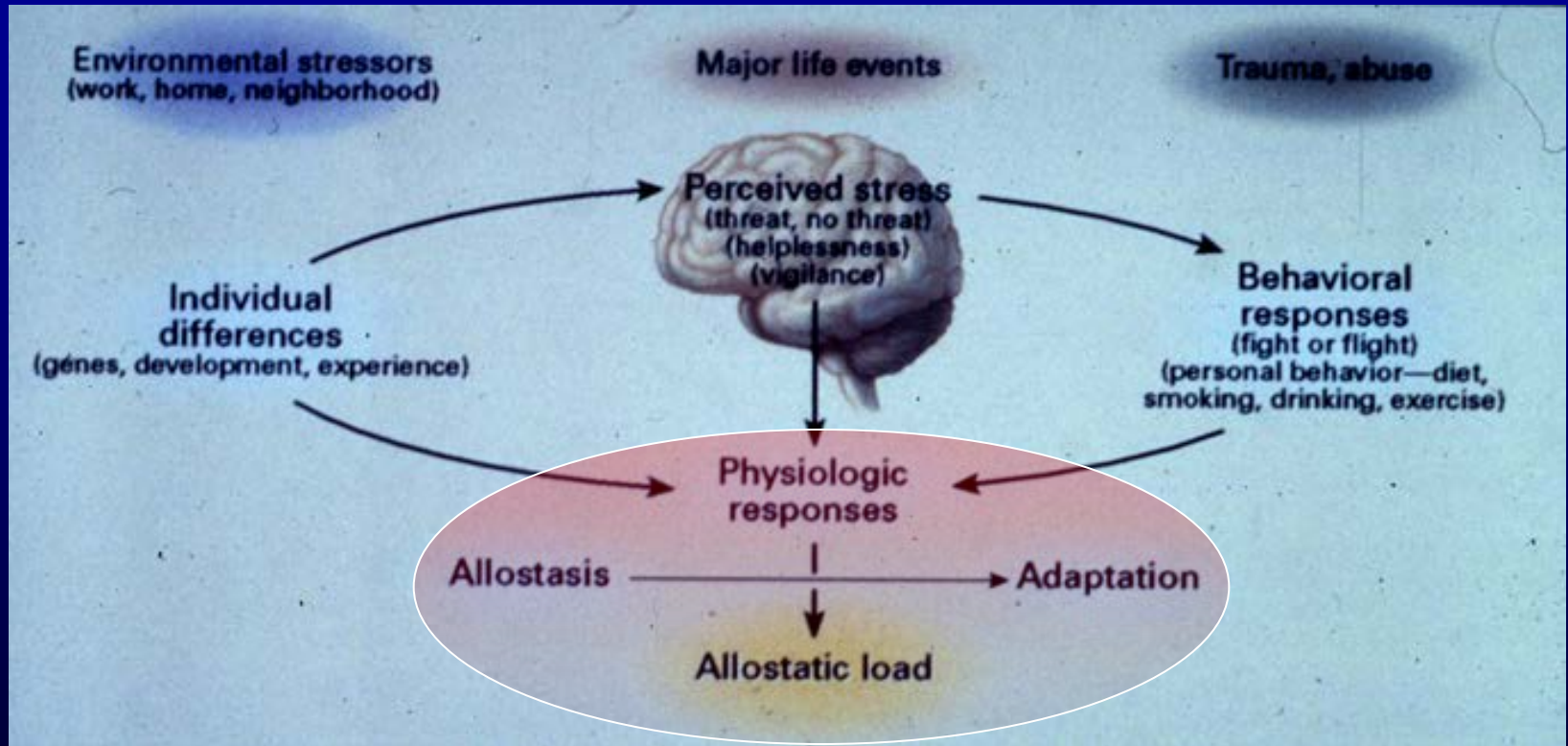


Protective and Damaging Effects of Stress Mediators

McEwen B. New England J. Med. 1998

Social environment and health

Part 1: Allostasis and allostatic load



Stress, allostasis and allostatic load

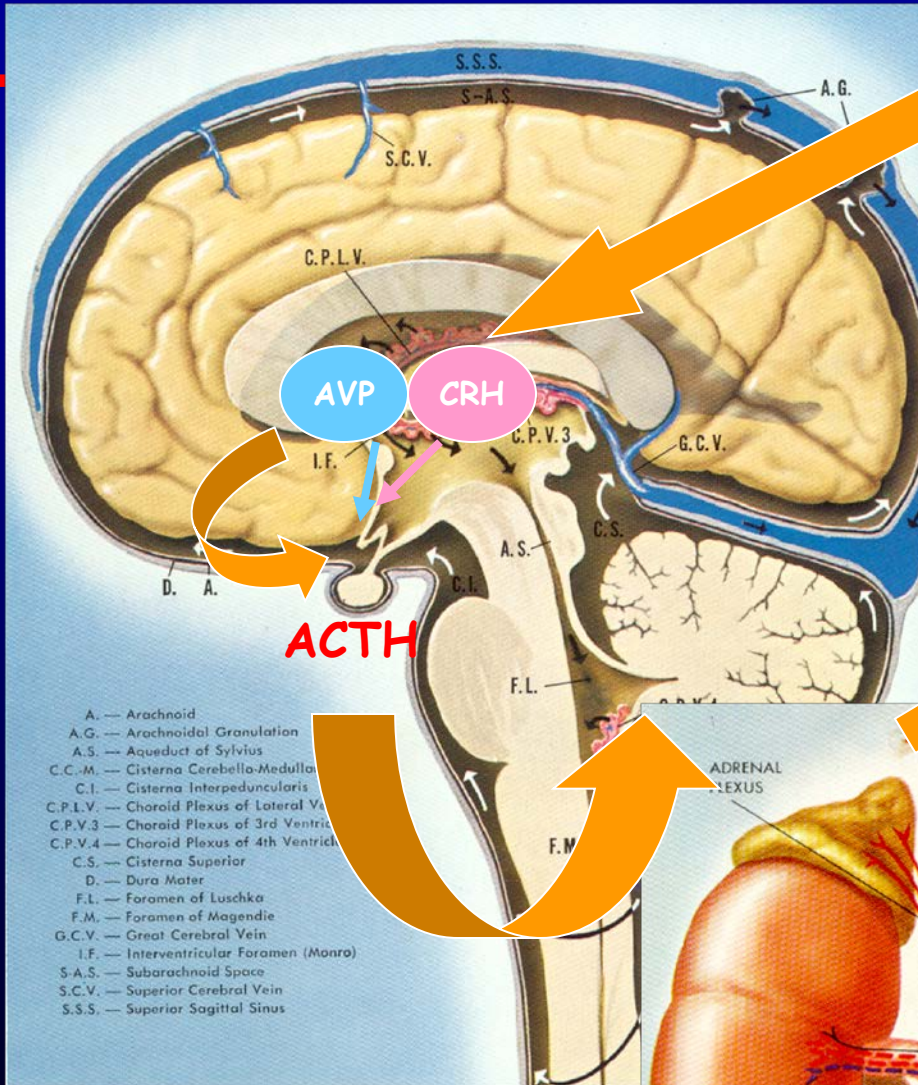
STRESS

**Many targets
for cortisol**

Cortisol

Acute - enhances immune,
Memory, energy replenishment,
Cardiovascular function

Chronic - suppresses immune,
Memory, promotes bone
Mineral loss, muscle wasting;
Metabolic syndrome



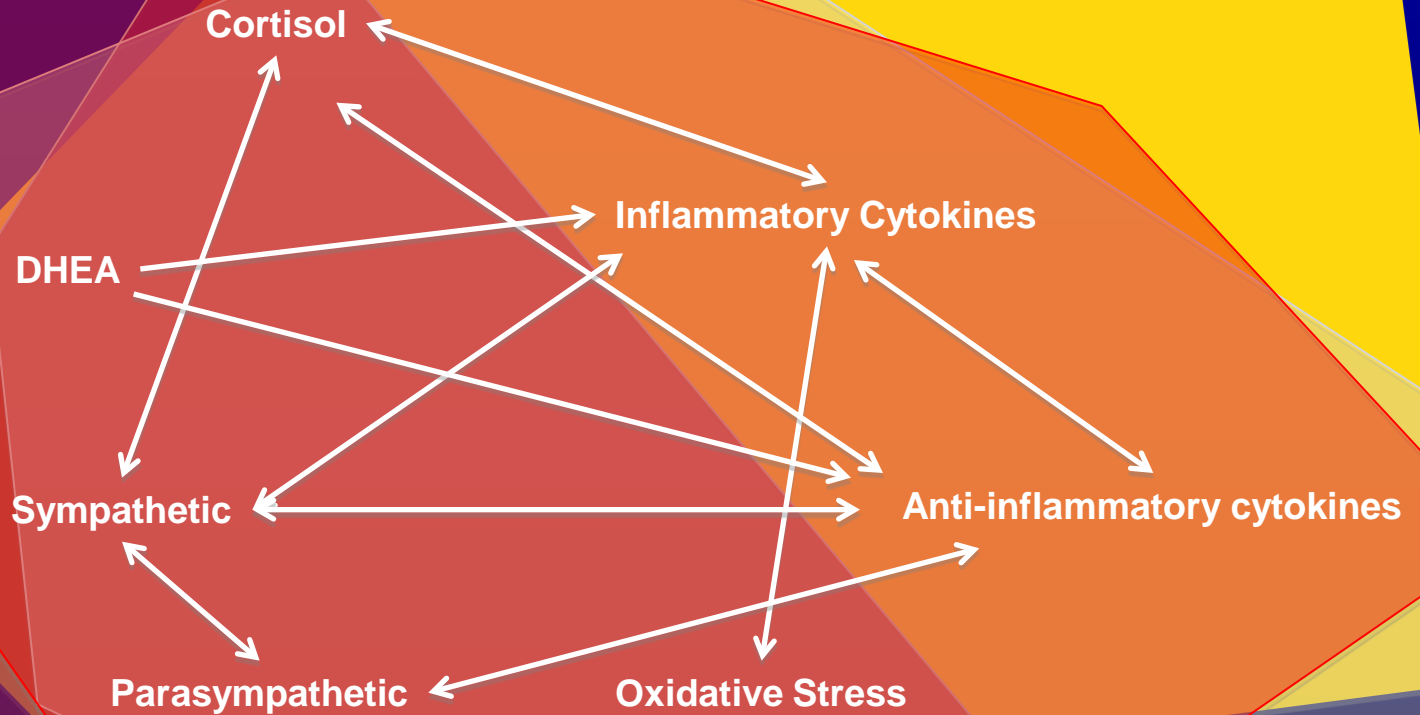
Mediators of allostasis leading to adaptation

CNS Function

- Cognition
- Depression
- Aging
- Diabetes
- Alzheimer's

Metabolism

- Diabetes
- Obesity



Cardiovascular function

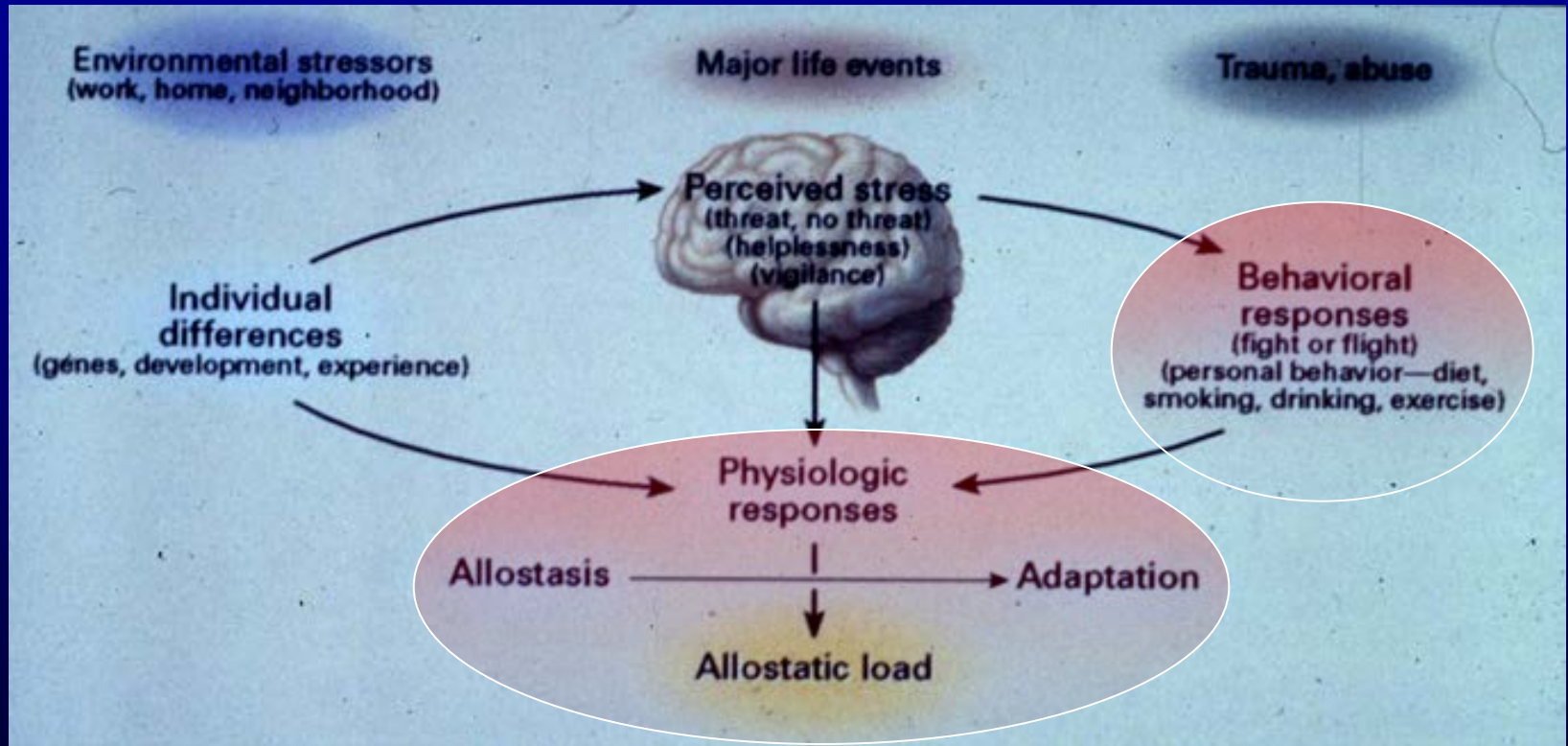
- Endothelial cell damage
- Atherosclerosis

Immune function

- immune enhancement
- immune suppression

Social environment and health

Health-related behaviors



What we often mean by “stress” is being “stressed out”!

Feeling overwhelmed, out of control, exhausted, anxious, frustrated, angry

What happens to us?

Sleep deprivation

Eating too much of wrong things,
alcohol excess, smoking

Neglecting regular, moderate exercise



Stress and your lifestyle can interact to increase allostatic load. For example, seeking solace in high-fat foods can accelerate atherosclerosis and increase secretion of cortisol, which not only adds to the accumulation of body fat but boosts your risk of heart disease, stroke, and diabetes.

All of these contribute to allostatic load
Psychosocial stress is a major factor

Allostatic Load Ancillary Study

Year 2000 Exam (n=769)

- **Cardiovascular**
 - SBP & DBP
 - Heart Rate Variability
 - Low Freq. Power
 - High Freq. Power
 - Heart rate
- **Metabolism**
 - HDL Cholesterol
 - LDL Cholesterol
 - Triglycerides
 - Fasting Insulin
 - Fasting Glucose
- **Waist circumference**
- **Inflammation**
 - Fibrinogen
 - CRP
 - IL-6
- **SNS**
 - Ur. Epinephrine
 - Ur. Norepinephrine
- **HPA**
 - Urinary Cortisol
 - Salivary Cortisol
 - Am rise
 - Pm decline

Allostatic load score: extreme quartile of each measure; for above max score is 18

Dr. Teresa Seeman UCLA

Findings with allostatic load battery

Predictive of mortality over 7 years

Higher education - lower allostatic load score.

**African Americans have higher AL scores
and a flatter gradient across education.**

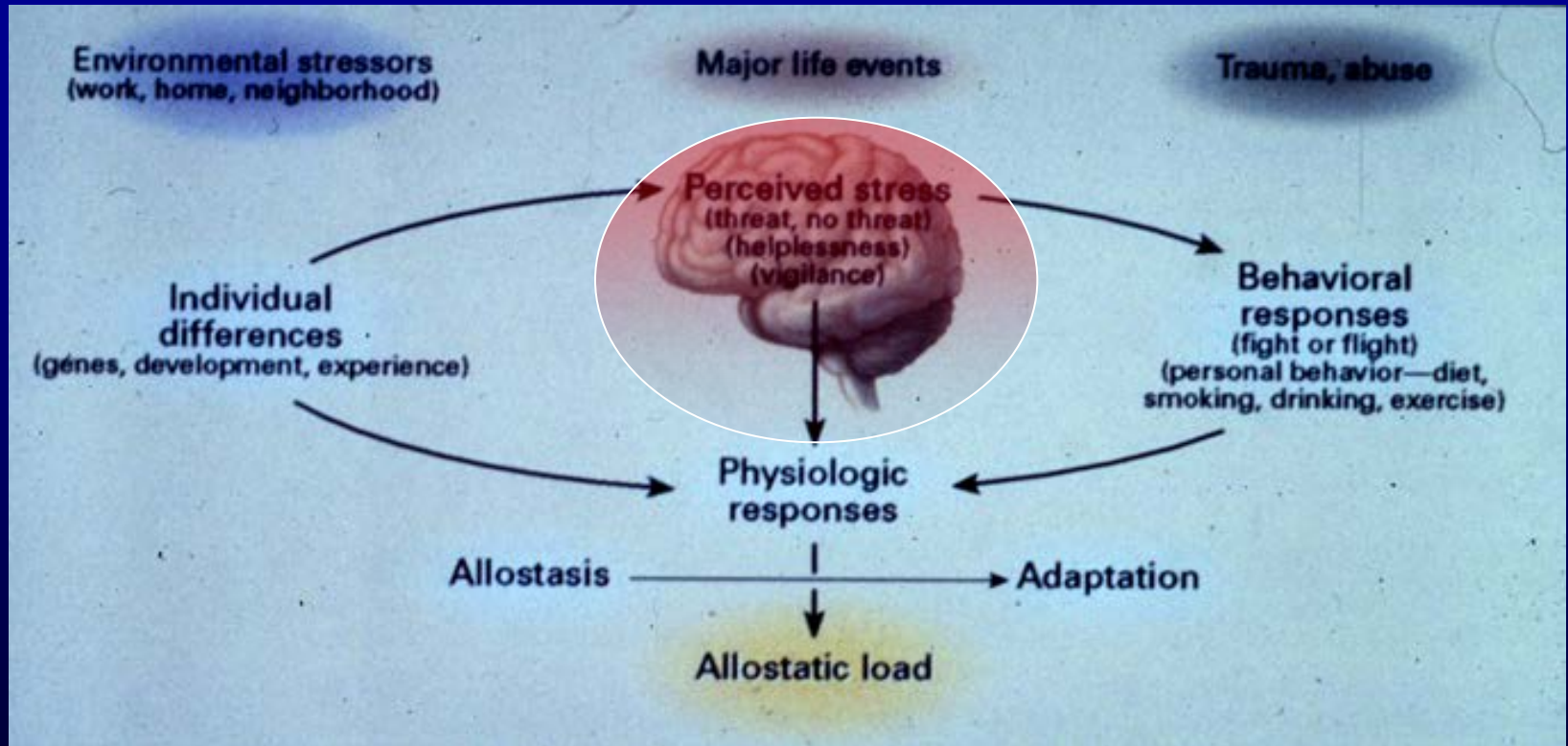
Neighborhood poverty - higher AL scores

Social conflict - higher AL score.

Social support - lower AL score.

Social environment and health

Part 2: Central Role of the Brain



The Human Brain Under Stress

Three Key Brain Areas Under Investigation

Prefrontal cortex

Decision making, working memory,
self regulatory behaviors: mood, impulses

Helps shut off the stress response

Hippocampus

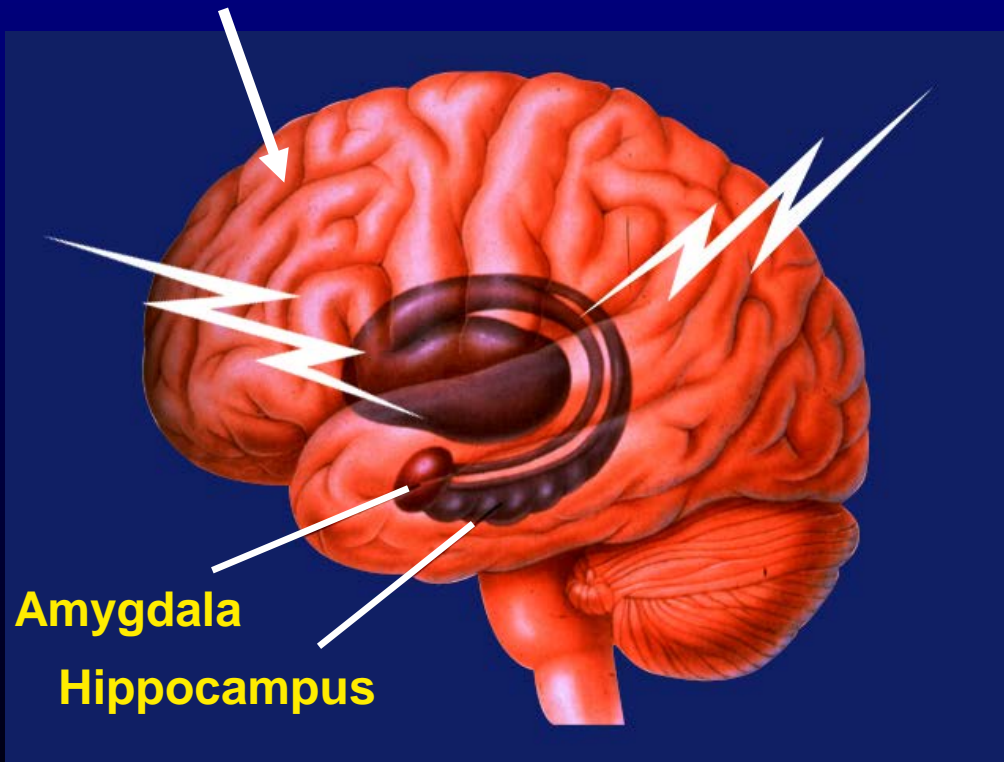
Memory of daily events;
spatial memory; mood
regulation

Helps shut off stress
response

Amygdala

Anxiety, fear;
aggression

Turns on stress
hormones and
increases heart rate

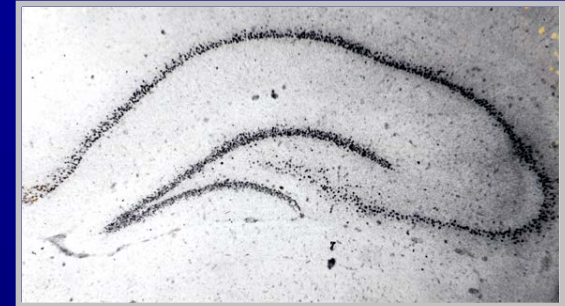
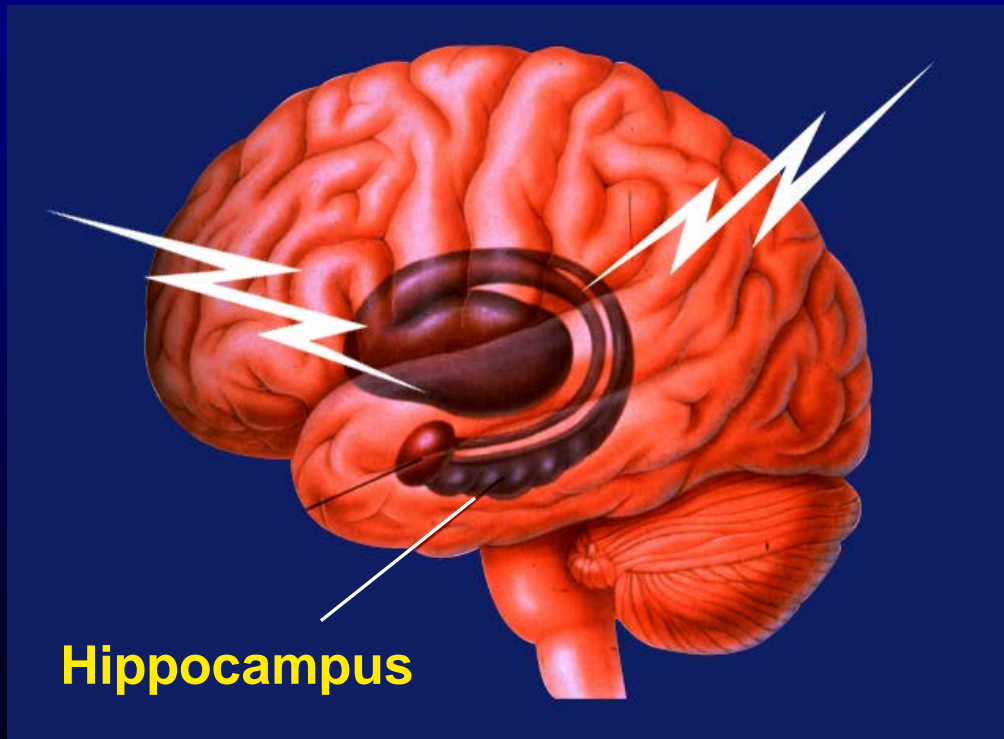


The Brain Under Stress

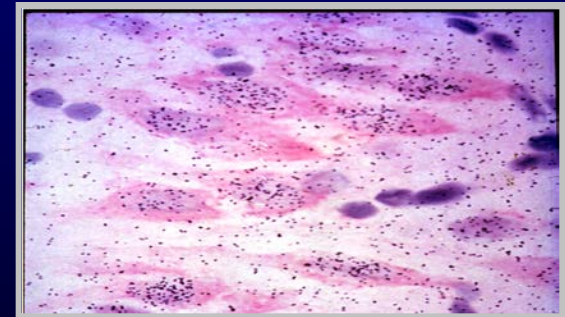
Receptors for Stress Hormone Cortisol in Hippocampus

Memory of daily events, spatial memory

Mood regulation – target of depression



Adrenal steroid receptors
in hippocampus



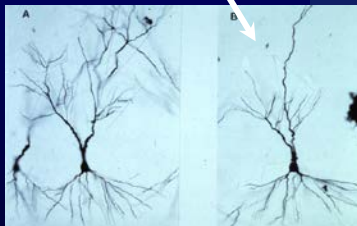
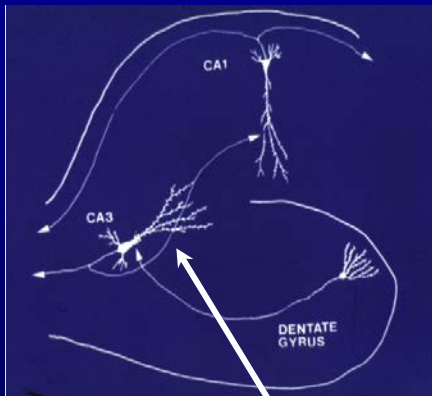
Receptors in cell nuclei
regulate
gene expression

Brain Under Stress

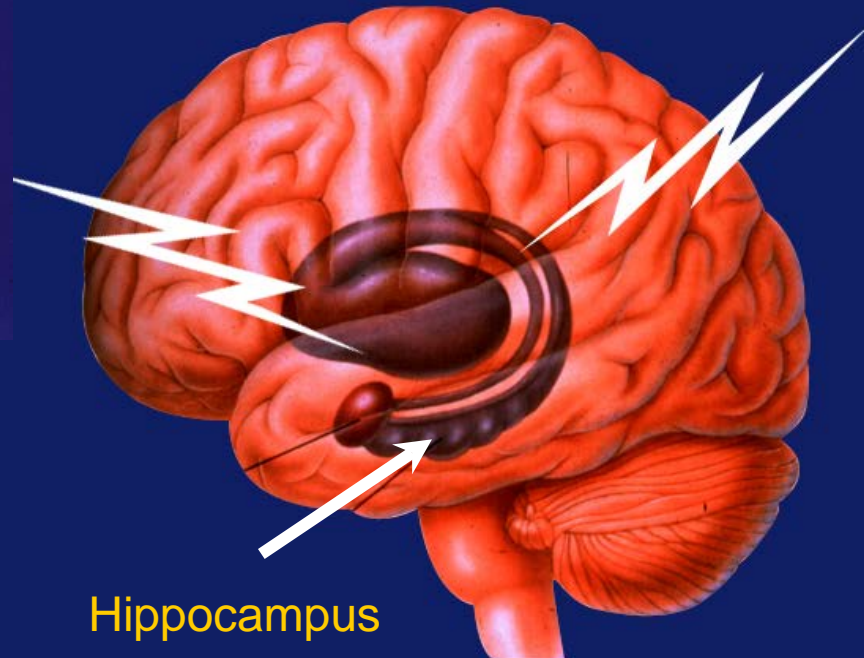
Hippocampus

Contextual, episodic, spatial memory

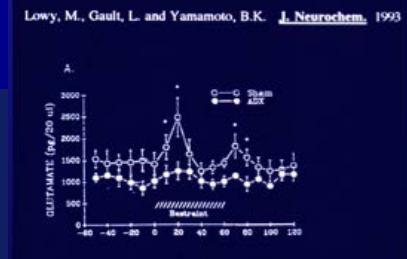
Mood regulation – target of depression



Stress-induced remodeling



Hippocampus



Glutamate plays a key role

Prevented by....
Blocking glucocorticoid synthesis

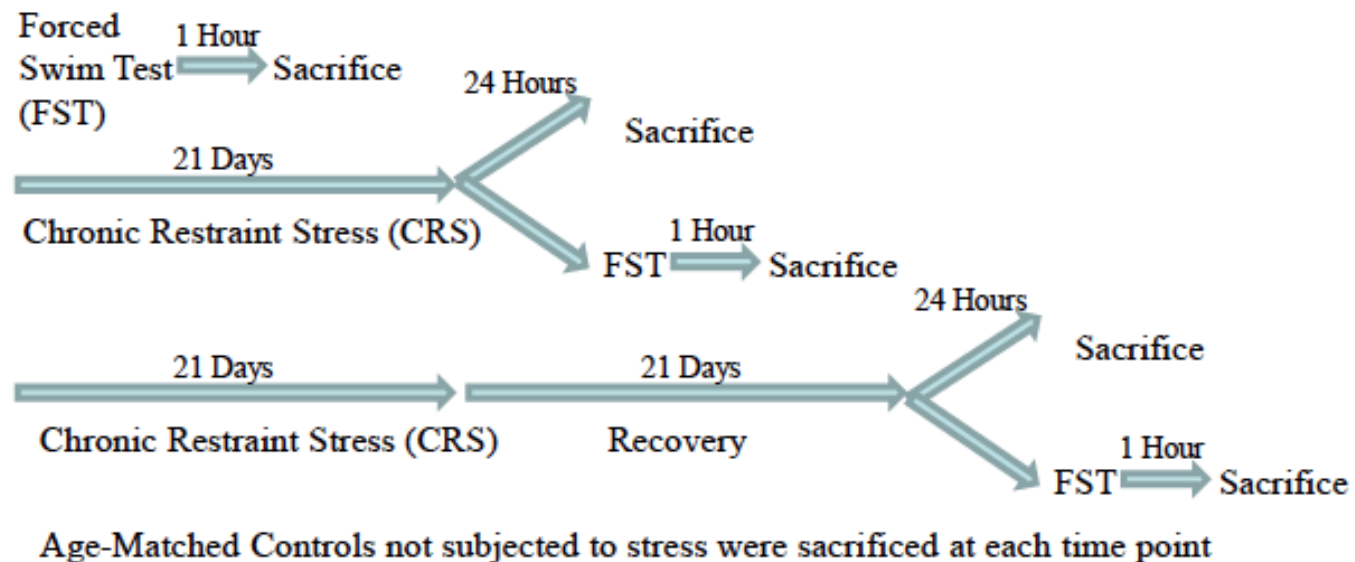
Blocking NMDA receptors
Lithium
Dilantin

Antidepressants

Benzodiazepine

Deficiency of BDNF

Stress Paradigms



Ongoing studies in mice by Drs. Jason Gray and Carla Nasca

Chronic restraint alters the gene expression response to a novel stressor

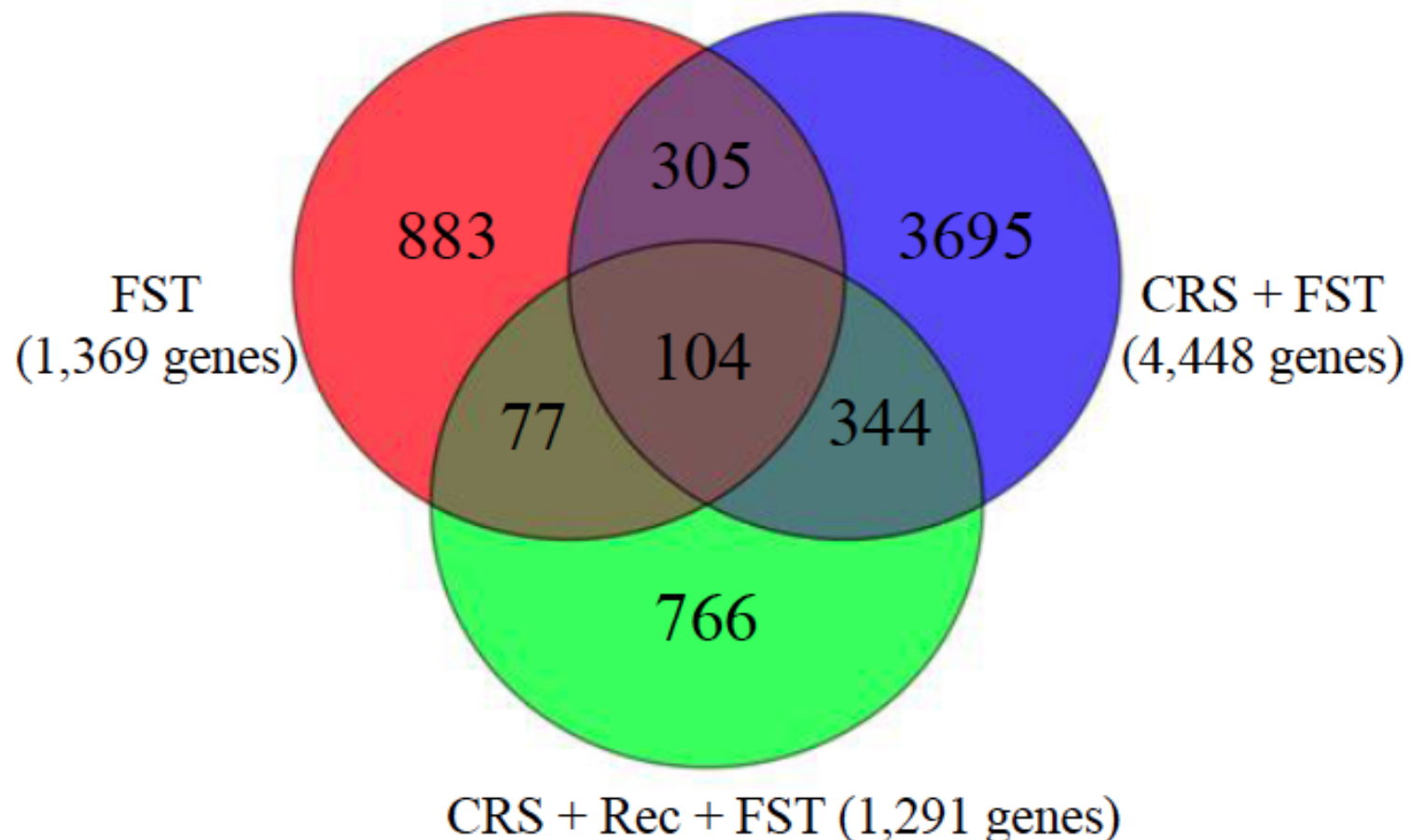


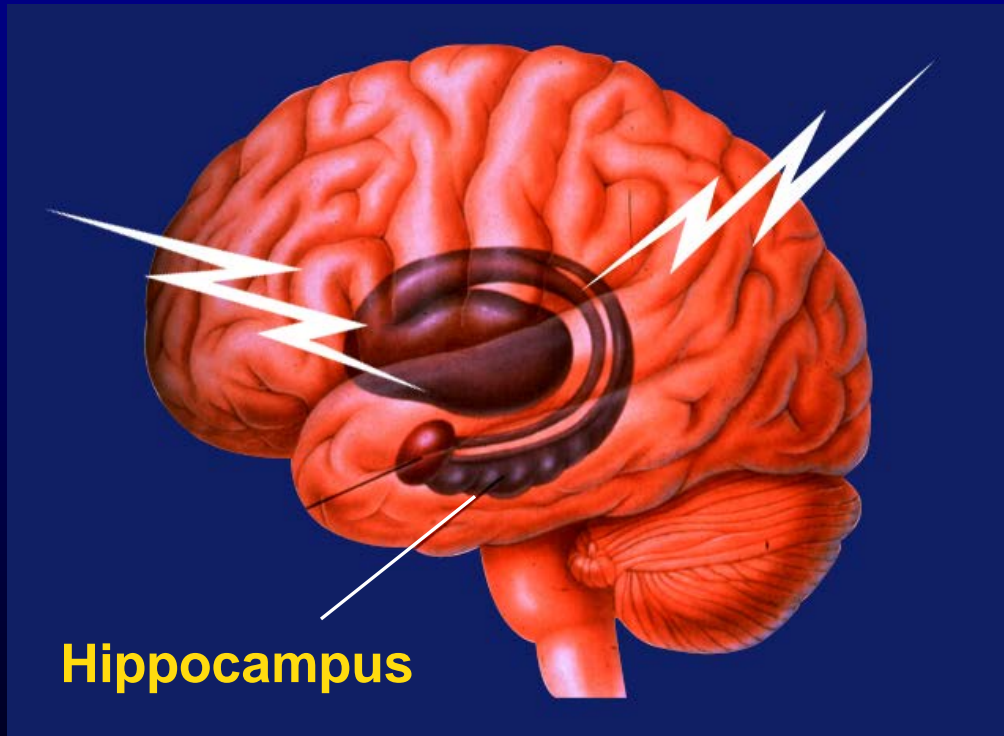
Figure 3. Venn Diagram of Differentially Expressed Genes from Three Different Stress Paradigms. Using pairwise uncorrected t-tests, FST, CRS + FST, and CRS + Rec + FST were compared to age-matched unstressed controls (n=4/group).

The Brain Under Stress:

Translation

Contextual, episodic, spatial memory

Mood regulation – target of depression



Hippocampus

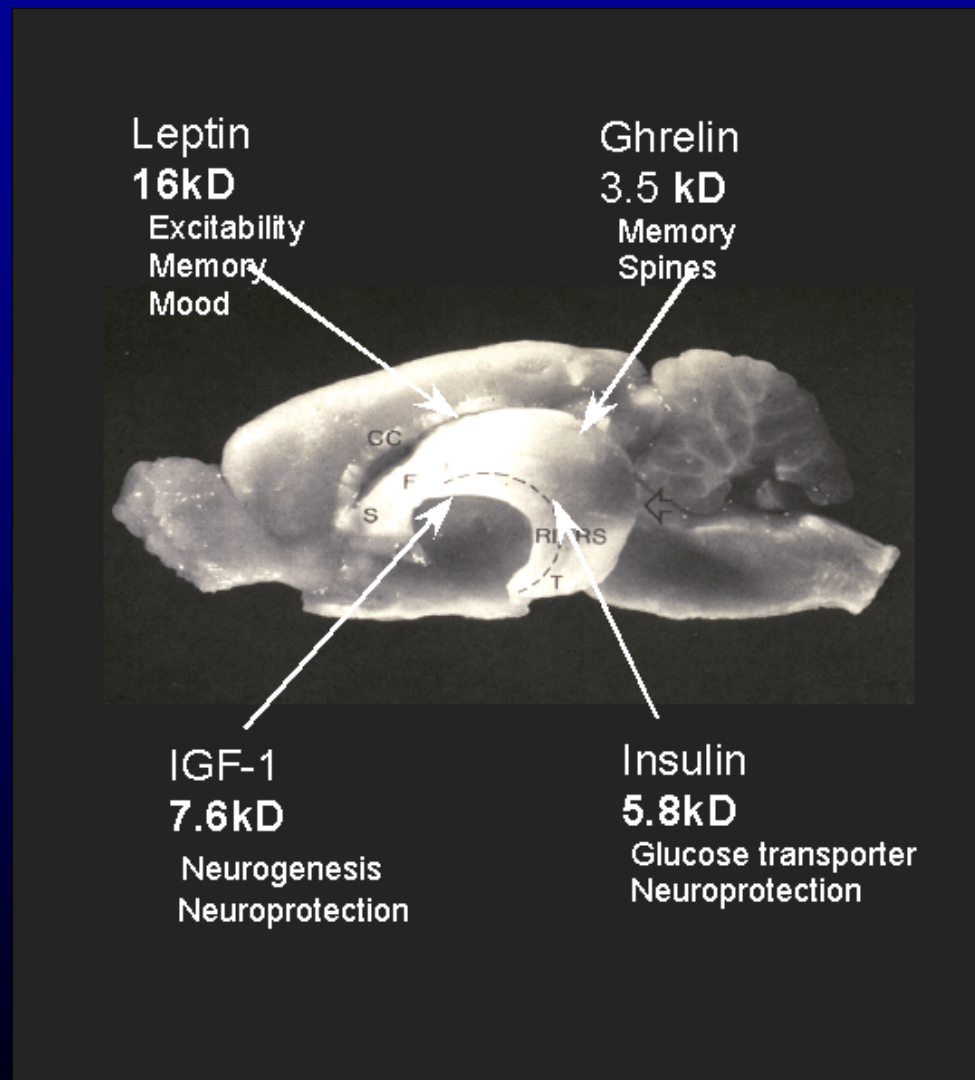
ATROPHIES in:

- Major depression
- Type 2 diabetes
- Post-traumatic stress disorder
- Cushing's disease

ALSO as a result of:

- Chronic stress
- Chronic jet lag
- Lack of exercise
- Chronic inflammation

Protein/peptide hormones enter and affect the brain



A Shrinking Hippocampus?

DIABETES, MILD COGNITIVE IMPAIRMENT (MCI) and GLUCOSE INTOLERANCE

Diabetologia
DOI 10.1007/s00125-007-0602-7

ARTICLE

Hippocampal damage and memory impairments as possible early brain complications of type 2 diabetes

S. M. Gold • I. Dziobek • V. Sweat • A. Tersi •
K. Rogers • H. Brühl • W. Tsui • S. Richardson •
E. Javier • A. Convit

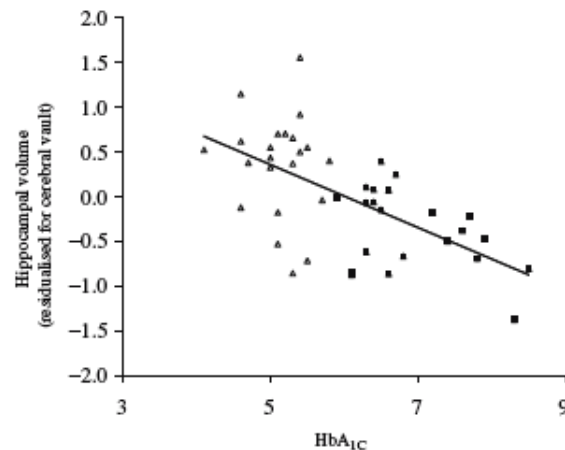


Fig. 1 Bivariate correlations of HbA_{1c} with hippocampal volume (residualised for cerebral vault size). The line shows the line of best fit for the entire study population. *Open triangles*, control subjects; *filled squares*, type 2 diabetic subjects. Descriptive characteristics of individuals with type 2 diabetes and control subjects are given in Table 1

Diabetes (type 2) - increased risk for Alzheimer's

Metabolic syndrome in adolescence: impact on brain

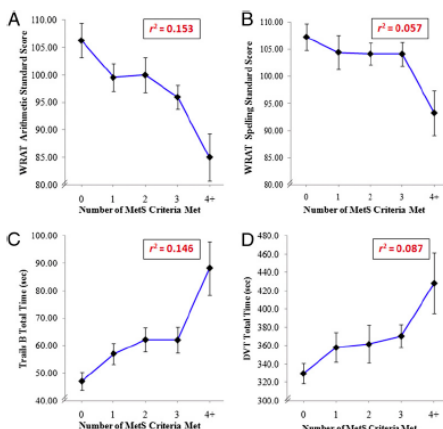


FIGURE 2
Lower cognitive performance with increasing number of MetS components present. A, WRAT arithmetic standard score. B, WRAT spelling standard score. C, Trails B total time. D, DVT total time for individuals who met 0 criterion ($n=21$), 1 criterion ($n=18$), 2 criteria ($n=23$), 3 criteria ($n=36$), or 4+ criteria ($n=13$). Data presented are mean \pm SEM.

Adolescents with MetS had

-Significantly smaller ICV-adjusted hippocampal volumes

-Larger ICV-adjusted overall CSF volume

--White matter abnormalities

We found the hippocampal volume reductions and increased CSF volumes remained significant and that the cognitive group differences were more dramatic, with 10 of the 17 (up from 7/17) cognitive measures now showing at least a statistical trend, all with larger effect sizes

Obesity and Metabolic Syndrome and Functional and Structural Brain Impairments in Adolescence

AUTHORS: Po Lai Yau, PhD,^a Mary Grace Castro, BS,^a Adrian Tagani,^a Wai Hon Tsui, MS,^a and Antonio Convit, MD^{a,b,c}
Departments of ^aPsychiatry and ^bMedicine, New York University School of Medicine, New York, New York and ^cNathan Kline Institute for Psychiatric Research, Orangeburg, New York

KEY WORDS

metabolic syndrome, adolescence, obesity, diffusion tensor imaging, brain abnormalities, cognitive performance, hippocampal volumes, fractional anisotropy

ABBREVIATIONS

BP—blood pressure
CRP—C-reactive protein
CSF—cerebrospinal fluid
DLPR—dorsolateral prefrontal region
DVT—Digit Vigilance Test
FA—fractional anisotropy
HDL—high-density lipoprotein
ICV—intracranial vault
IR—insulin resistance
MetS—metabolic syndrome
MPRAGE—magnetization-prepared rapid acquisition gradient echo
QUICKI—quantitative insulin sensitivity check index
T2DM—type 2 diabetes mellitus
VANOVA—voxelwise analysis of covariance
WM—white matter
WRAML—Wide Range Assessment of Memory and Learning
WRAT—Wide Range Achievement Test

Each author made substantial contributions to this article. Dr Convit designed, performed, and supervised the study; Drs Yau and Convit, W. H. Tsui, M. G. Castro, and A. Tagani acquired and analyzed the data; Drs Yau and Convit wrote the article; all authors have seen and approved the final version of the manuscript.

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Address correspondence to Antonio Convit, MD, Brain, Obesity, and Diabetes Laboratory (BOD/Lab), New York University School of Medicine, 145 East 32nd St, 8th Floor, New York, NY 10016. E-mail: antonio.convit@med.nyu.edu

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WHAT'S KNOWN ON THIS SUBJECT: Despite the dramatic rise in prevalence of metabolic syndrome (MetS) among children and adolescents, and that MetS is associated with cognitive and brain impairments among adults, no data on the impact of MetS on the brain exist in children.

WHAT THIS STUDY ADDS: It provides the first data on the impact of MetS on brain in adolescence. We show reductions in cognitive function and brain structural integrity in nondiabetic adolescents with MetS, thus suggesting that even pre-clinical metabolic illness may give rise to brain complications.

abstract

FREE NIH

BACKGROUND: The prevalence of metabolic syndrome (MetS) parallels the rise in childhood obesity. MetS is associated with neurocognitive impairments in adults, but this is thought to be a long-term effect of poor metabolism. It would be important to ascertain whether these brain complications are also present among adolescents with MetS, a group without clinically manifest vascular disease and relatively short duration of poor metabolism.

METHODS: Forty-nine adolescents with and 62 without MetS, matched on age, socioeconomic status, school grade, gender, and ethnicity, received endocrine, MRI, and neuropsychological evaluations.

RESULTS: Adolescents with MetS showed significantly lower arithmetic, spelling, attention, and mental flexibility and a trend for lower overall intelligence. They also had, in a MetS-dose-related fashion, smaller hippocampal volumes, increased brain cerebrospinal fluid, and reductions of microstructural integrity in major white matter tracts.

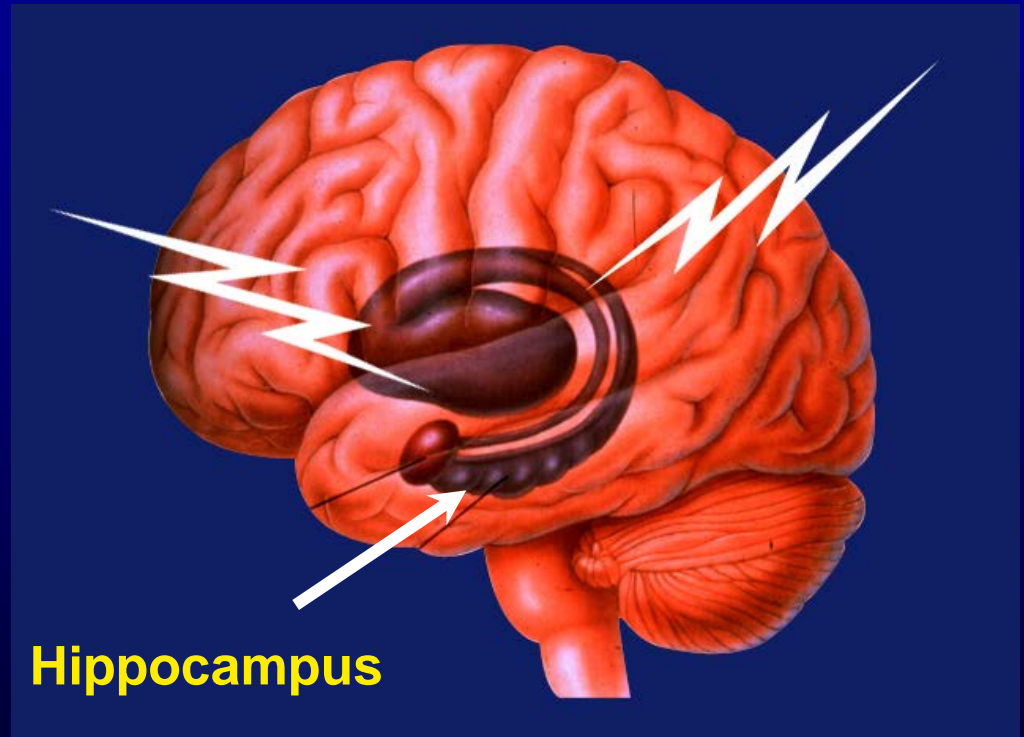
CONCLUSIONS: We document lower cognitive performance and reductions in brain structural integrity among adolescents with MetS, thus suggesting that even relatively short-term impairments in metabolism, in the absence of clinically manifest vascular disease, may give rise to brain complications. In view of these alarming results, it is plausible that obesity-associated metabolic disease, short of type 2 diabetes mellitus, may be mechanistically linked to lower the academic and professional potential of adolescents. Although obesity may not be enough to stir clinicians or even parents into action, these results in adolescents strongly argue for an early and comprehensive intervention. We propose that brain function be introduced among the parameters that need to be evaluated when considering early treatment of childhood obesity. *Pediatrics* 2012;130:1–9

The Brain Under Stress:

Translation

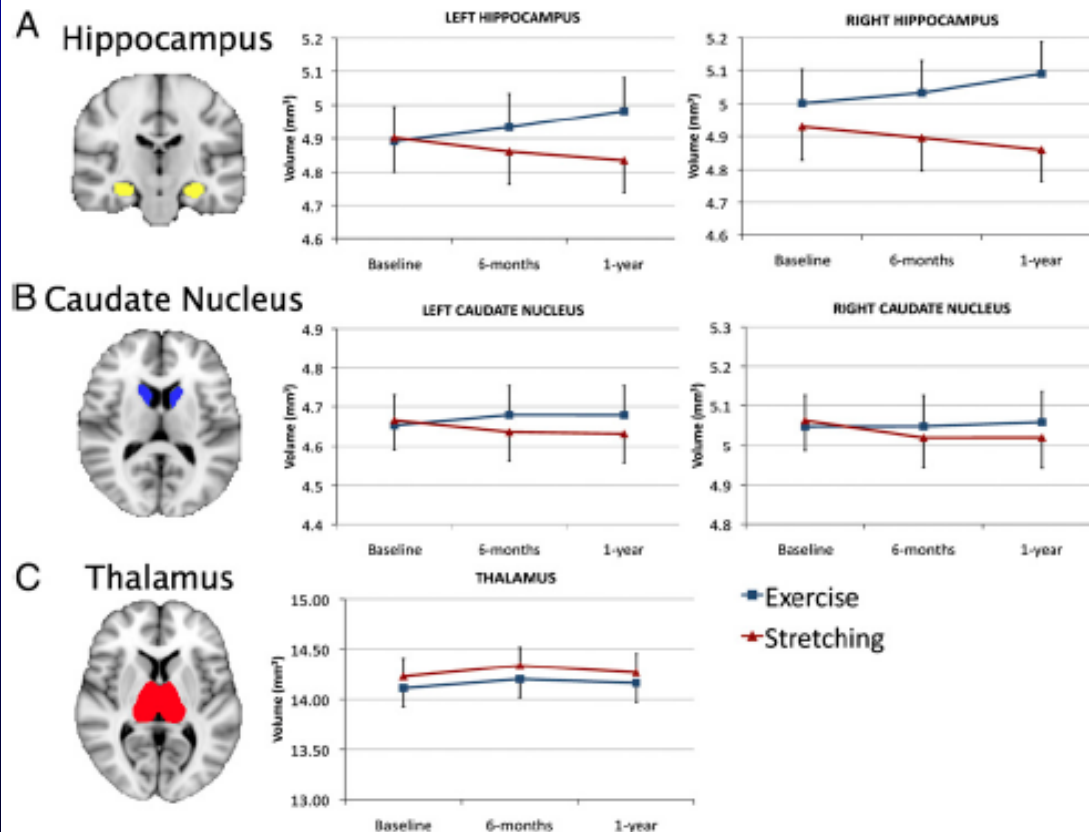
Hippocampus *INCREASES*
in size with:

- Regular exercise
- Intense learning
- Anti-depressant treatment



Exercise training increases size of hippocampus and improves memory

Kirk I. Erickson^a, Michelle W. Voss^{b,c}, Ruchika Shaurya Prakash^d, Chandramallika Basak^e, Amanda Szabo^f, Laura Chaddock^{b,c}, Jennifer S. Kim^b, Susie Heo^{b,c}, Heloisa Alves^{b,c}, Siobhan M. White^f, Thomas R. Wojcicki^f, Emily Mailey^f, Victoria J. Vieira^a, Stephen A. Martin^f, Brandt D. Pence^f, Jeffrey A. Woods^f, Edward McAuley^{b,f}, and Arthur F. Kramer^{b,c,1}



Mitochondria in muscle: fragmentation with inactivity

M. PICARD AND D.M. TURNBULL

NORMAL MITOCHONDRIA

Mitochondrial functions

- ATP synthesis
- Ca^{2+} signaling
- Retrograde signaling
- Cellular differentiation
- Purine synthesis

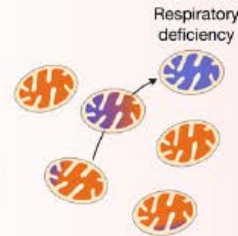


Fusion
UNDERSUPPLY



FRAGMENTED MORPHOLOGY

- ▶ ↑ accumulation of mtDNA abnormalities
- ▶ ↓ mtDNA functional complementation
- ▶ ↑ ROS production
- ▶ ↑ sensitivity to apoptosis



OVERSUPPLY
Fission

FUSED/RETICULAR MORPHOLOGY

Summary: Stress – Good and Bad

Role in Synaptic Function, Adaptive Plasticity and Damage

Synaptic functions: enhancement

- Synaptic transmission.
- Long-term potentiation.
- Learning - re: self-preservation

Synaptic functions: suppression

- Synaptic transmission.
- Long-term potentiation.
- Learning - less-important things

Adaptive plasticity ***:

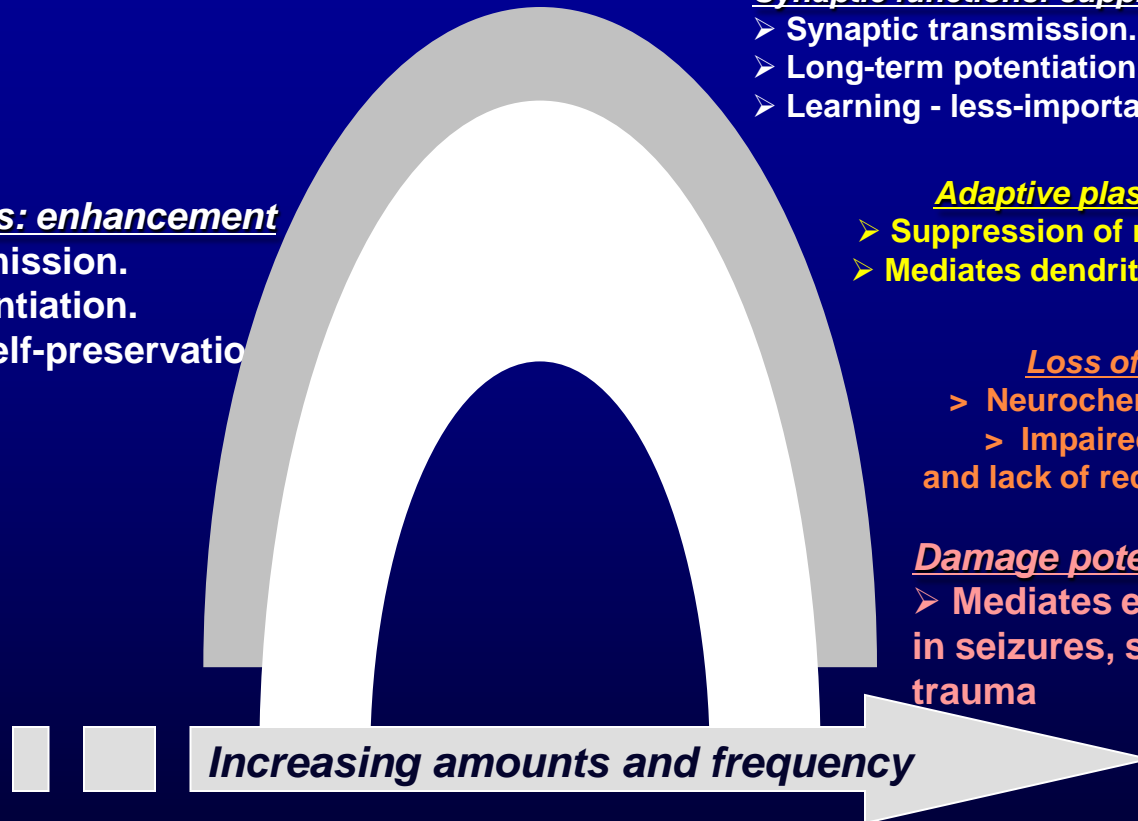
- Suppression of neurogenesis.
- Mediates dendritic remodeling.

Loss of resilience

- > Neurochemical distortion
- > Impaired remodeling and lack of recovery from stress

Damage potentiation:

- Mediates excitotoxicity in seizures, stroke, & head trauma



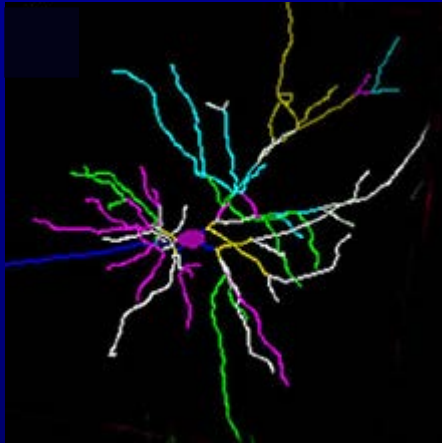
Adrenal steroids and excitatory amino acids modulate
both limbs of inverted U

***Chronic stress: how much protection vs. destabilization?

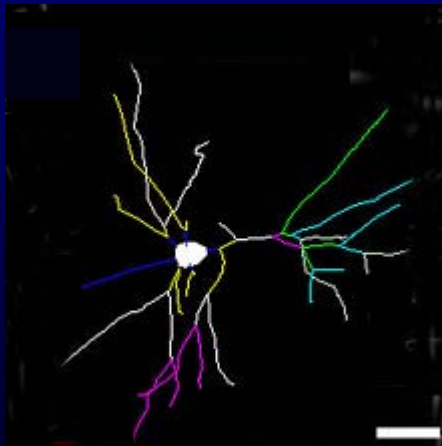
Stress causes neurons to shrink or grow

....but not necessarily to die

Control

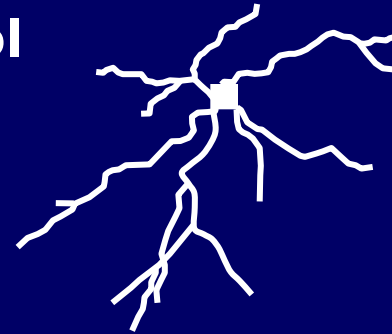


Chronic stress

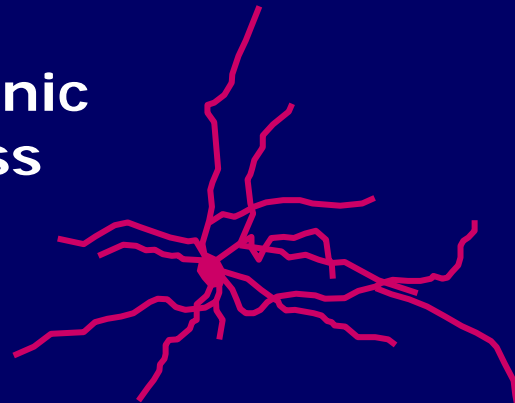


Prefrontal Cortex
And Hippocampus

Control



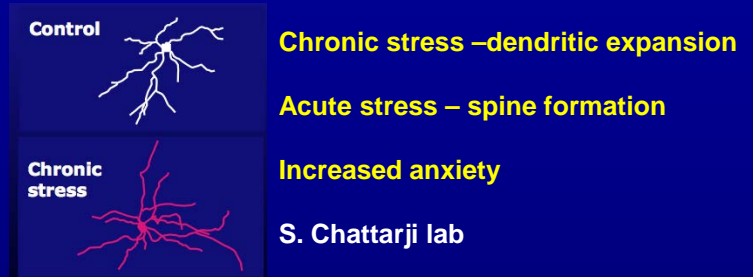
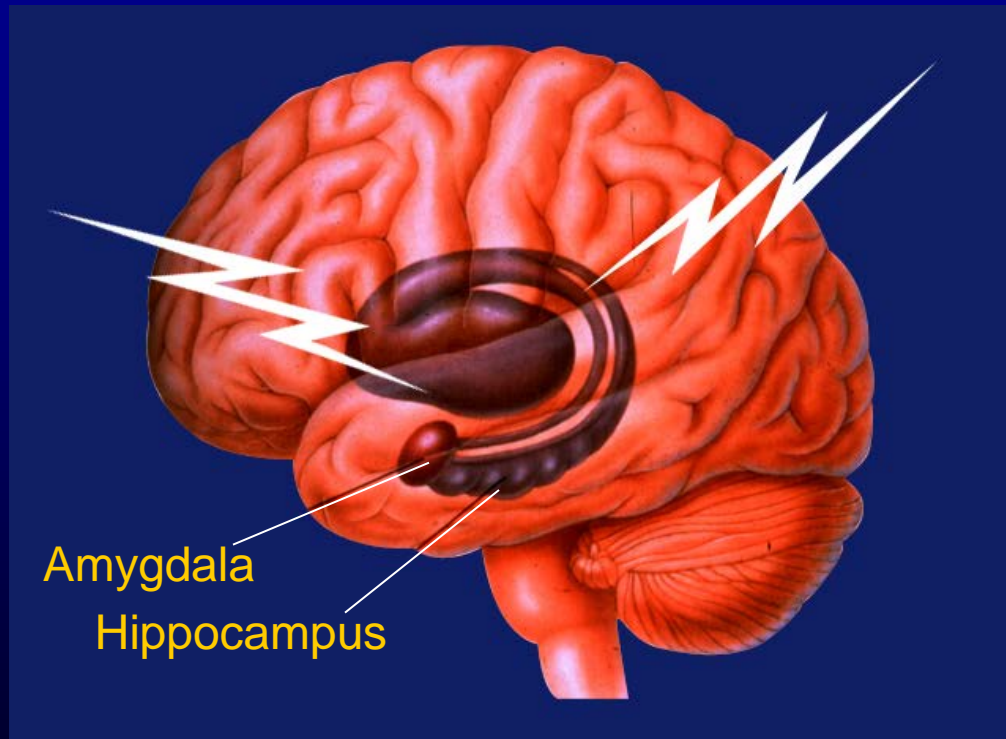
Chronic stress



Amygdala
OFC

Brain Under Stress:

Role in cognitive function, emotion,
neuroendocrine and autonomic regulation



Amygdala

Emotion, fear, anxiety,

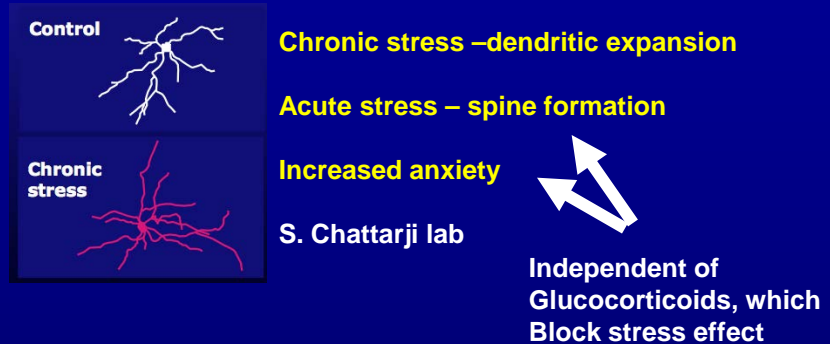
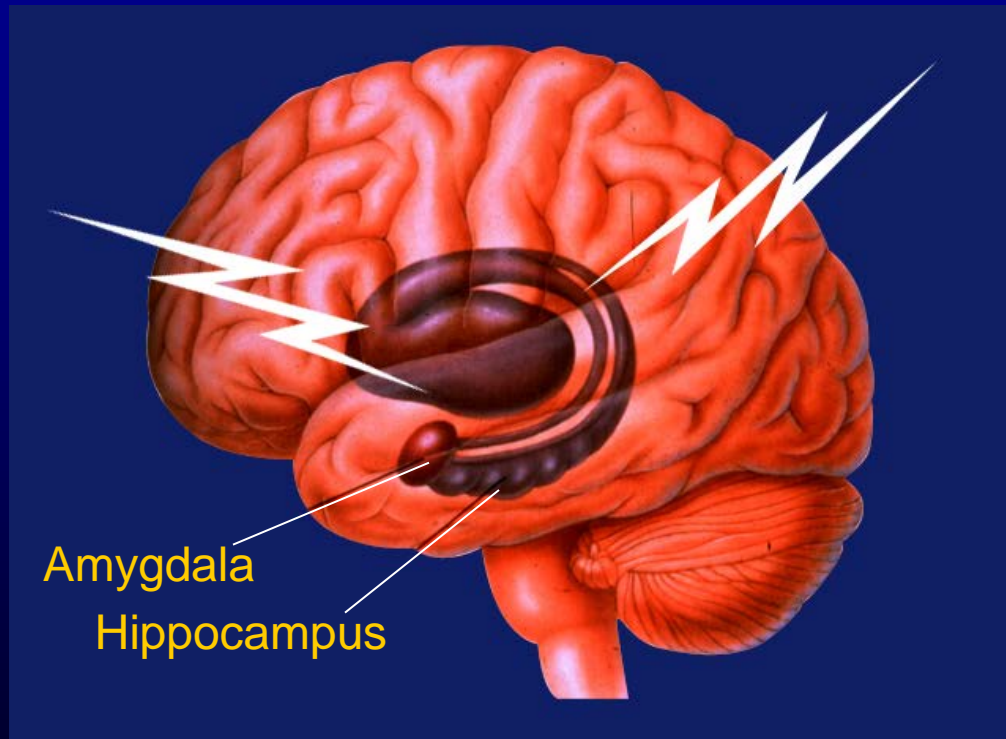
Aggression

Turns on HPA and
autonomic response

Overactivity in anxiety
disorders and depression

Brain Under Stress:

Role in cognitive function, emotion,
neuroendocrine and autonomic regulation



Amygdala

Emotion, fear, anxiety,

Aggression

Turns on HPA and
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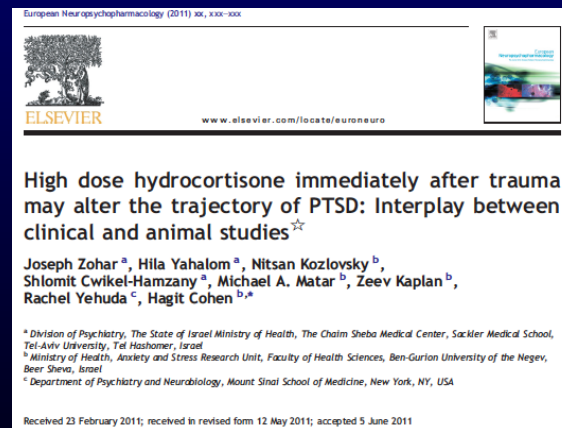
Possible relevance to PTSD

Low CORT at time of trauma – increased PTSD

-Epidemiology (Yehuda, McFarlane, Shalev)

-Supplemental CORT reduces symptoms (Schelling)

-Animal models (Hagit Cohen and colleagues)



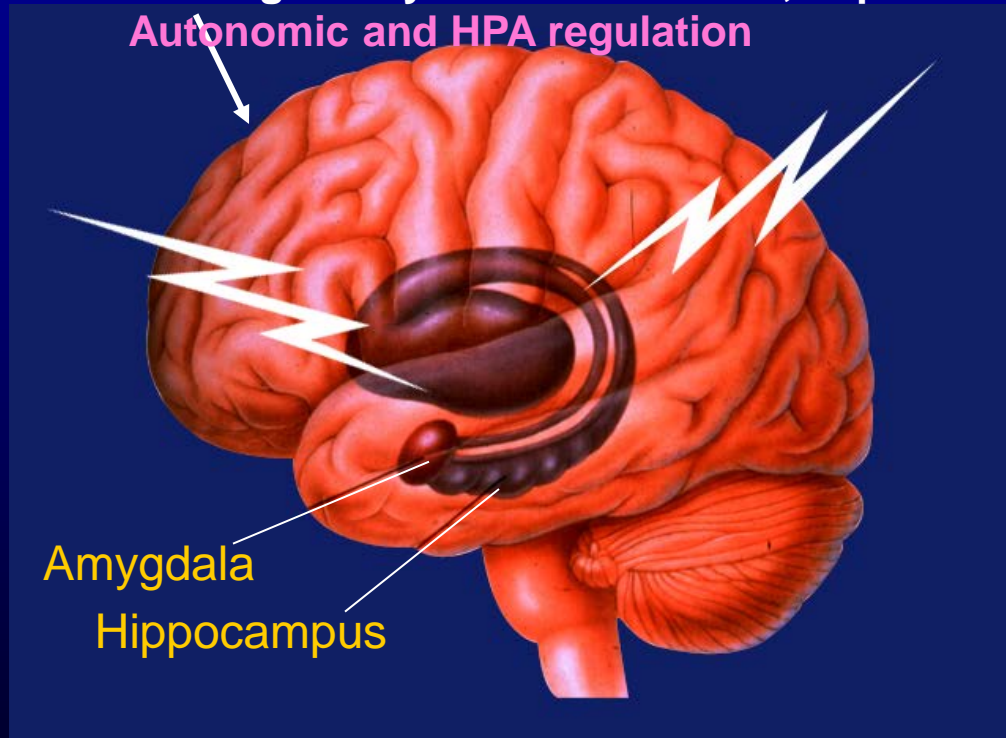
Brain Under Stress:

Role in cognitive function, emotion,
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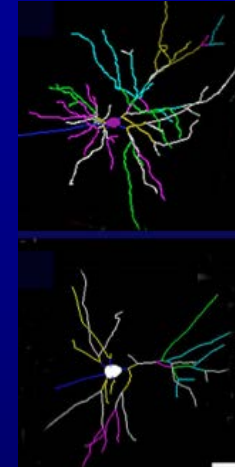
Prefrontal cortex

Decision making, working memory,
Self regulatory behaviors: mood, impulses

Autonomic and HPA regulation



mPFC



Control

Chronic stress
Circadian disruption

Reversible in young adults.

Sensitive to circadian disruption

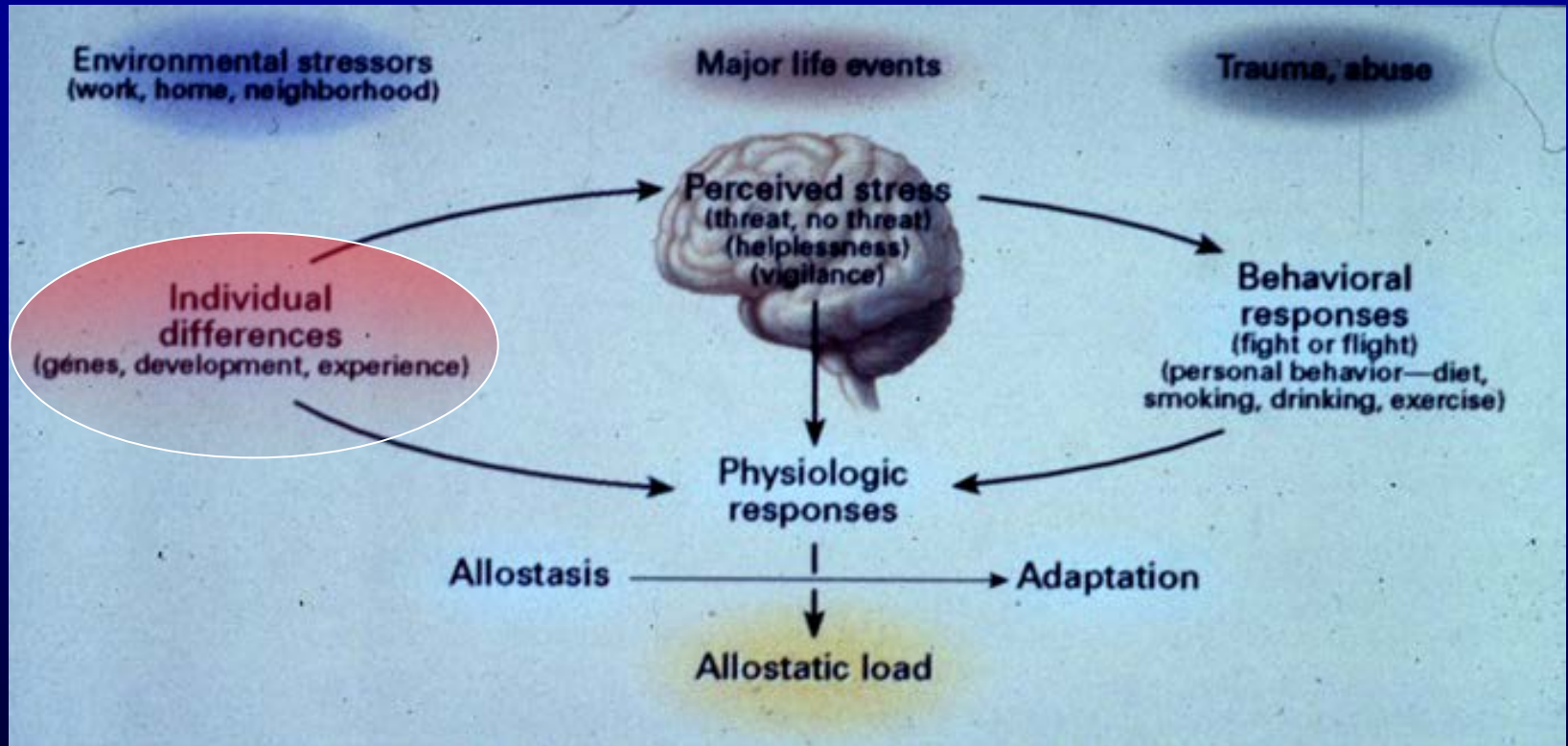
Loss of resilience with aging

Females respond differently

Collaboration with John Morrison, Patrick Hof

Social environment and health

Part 3: Biological Embedding and G x E



Reactive alleles

Epigenetic modifications – transgenerational

Types of Stress

Positive Stress

- Exhilaration from a challenge that has a satisfying outcome
- Sense of mastery and control
- Good self esteem

Tolerable Stress

- Adverse life events but good social and emotional support

Toxic Stress

- Exacerbated by chaos, abuse, neglect
- Poor social and emotional support
- *Unhealthy brain architecture*

Adverse Childhood Experience – Health Consequences

carried out in Kaiser-Permanente Health System in California

Table 1. Health and social problems and the ACE score

Problems from the baseline data	Outcomes associated with the ACE score
Prevalent diseases	Ischemic heart disease, cancer, chronic lung disease, skeletal fractures, sexually transmitted diseases, liver disease
Risk factors for common diseases/poor health	Smoking, alcohol abuse, promiscuity, obesity, illicit drug use, injection drug use, multiple somatic symptoms, poor self-rated health, high perceived risk of AIDS
Mental health	Depressive disorders, anxiety, hallucinations, panic reactions, sleep disturbances, memory disturbances, poor anger control

Sexual and reproductive health	Early age at first intercourse, sexual dissatisfaction, teen pregnancy, unintended pregnancy, teen paternity, fetal death
General health and social problems	High perceived stress, impaired job performance, relationship problems, marriage to an alcoholic, risk of perpetrating or being a victim of domestic violence, premature mortality in family members

Heart disease, smoking, obesity

Drug abuse, high risk for AIDS

Depression, anxiety, anger control

Anti-social behavior

Anda et al / Am J Prev Med 2010;39(1):93–98

Nature-Nurture Interactions

Monoamine oxidase genes influence whether childhood abuse will be transmitted from abuser to child

Caspi, A.; McClay, J.; Moffitt, T. E.; Mill, J.; Martin, J.; Craig, I. W.; Taylor, A., and Poulton, R.

Role of genotype in the cycle of violence in maltreated children.

Science. 2002; 297:851-854.

Serotonin transporter genes influence vulnerability to life-stress in causing depression

Caspi, A.; Sugden, K.; Moffitt, T. E.; Taylor, A.; Craig, I. W.; Harrington, H.; McClay, J.; Mill, J.;

Martin, J.; Braithwaite, A., and Poulton, R.

Influence of life stress on depression: Moderation by a polymorphism in the 5-HTT gene.

Science. 2003; 301:386-389.

Study in New Zealand

Epigenetics

Biological Embedding

“above the genome”

Refers to the gene-environment interactions that bring about the phenotype of an individual.

- Modifications of histones - unfolding/folding of chromatin to expose or hide genes
- Binding of transcription regulators to DNA response elements on genes
- Methylation of cytosine bases in DNA without changing genetic code
- MicroRNA's – regulate mRNA survival and translation

Effects can extend to next generation

Examples: obesity; parental behavior

<http://www.pbs.org/wgbh/nova/sciencenow/3411/02.html>

Orchids and Dandelions

Genes that appear to be “bad” may confer positive outcomes in a nurturing environment



Development and Psychopathology 17 (2005), 271–301
Copyright © 2005 Cambridge University Press
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DOI: 10.1017/S0954579405050145

Biological sensitivity to context: I. An evolutionary–developmental theory of the origins and functions of stress reactivity

W. THOMAS BOYCE^a AND BRUCE J. ELLIS^b

^aUniversity of California, Berkeley; and ^bUniversity of Arizona

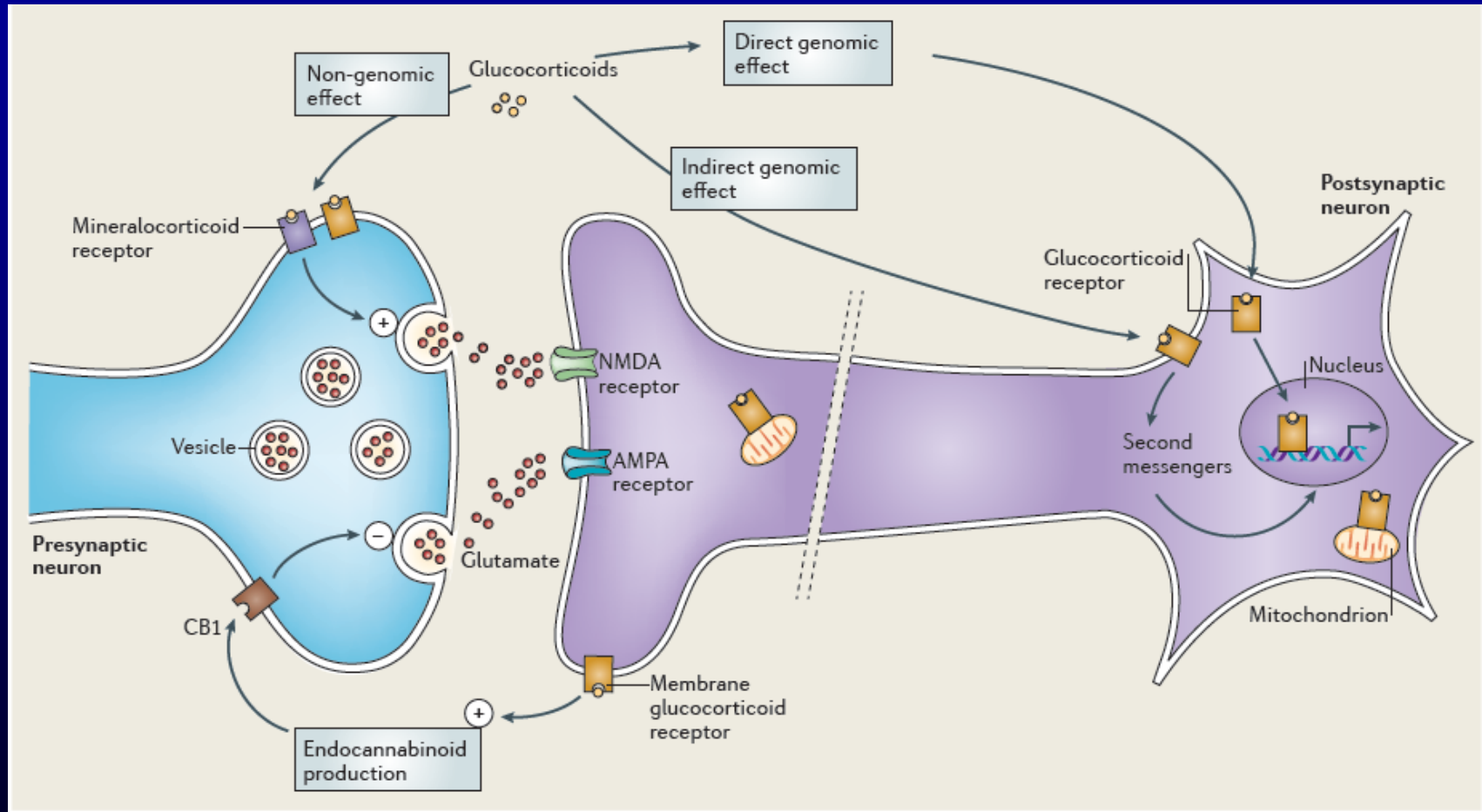
Question: Are “orchids” not only more vulnerable to adversity but also more adaptable?

Some examples of ACE and low SES effects on the brain

1. Lack of verbal stimulation (“serve and return”) leading to poor vocabulary as well as impaired emotional control.
2. Chaos in home – impaired self regulation; risk for hypertension and obesity
3. Low SES environment – impaired cognitive functions involving prefrontal cortex and hippocampus.
4. Children of depressed mothers have larger amygdala.
5. Low self esteem and locus of control – smaller hippocampus and impaired regulation of cortisol; increased risk for PTSD.

Diverse mechanisms of glucocorticoid action:

Non-genomic and genomic effects of glucocorticoids



Non-nuclear glucocorticoid receptors: association with PSD

L. R. Johnson et al. / Neuroscience 136 (2005) 289–299

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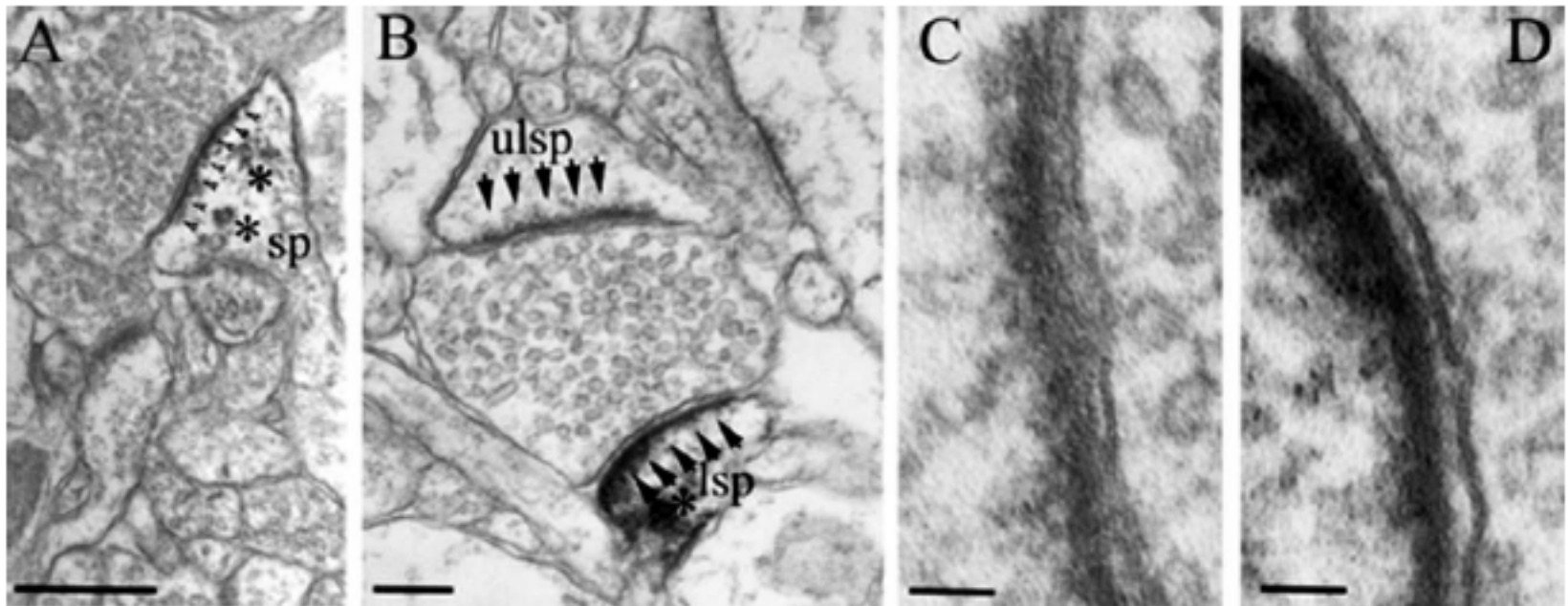


Fig. 3. GR immunolabelling of the PSD. (A) GR-ir labeling of the PSD (arrowheads) of an asymmetrical synapse located on the head of a LA spine (sp). GR-ir spine organelles are also present in the spine head (asterisk). (B) A presynaptic terminal simultaneously forms two asymmetric synapses onto spines (arrows): One spine is GR-ir labeled (lsp) at the PSD while the other spine PSD (upper spine) is unlabeled (ulsp). A labeled spine organelle (asterisks) is also present in the lsp. (C, D) Enlargement for comparison of GR-ir labeled and unlabeled PSD's shown in B. (C) Unlabeled PSD shown in B. (D) GR-ir PSD shown in B. Scale bar—(A) 500 nm (B) 200 nm (C, D) 50 nm.

Luke Johnson, Claudia Farb, Joseph Ledoux, John Morrison, Bruce McEwen

Glucocorticoid actions mediate or biphasically modulate actions of chronic stress – 3 examples

- **Cocaine amphetamine related transcript (CART) mRNA and protein in dentate gyrus.**
Function: RESISTANCE TO STRESSOR

CORT mediates stress-induced increase in CART

- **KA1 receptor mRNA in dentate gyrus.**
Function: PROMOTES GLUTAMATE RELEASE AND ACTIONS

CORT biphasically modulates stress-induced increase in KA1

- **Glutamate transporter (Glt 1) mRNA and protein in CA1-3**
Function: REUPTAKE OF GLUTAMATE AFTER RELEASE

CORT biphasically modulates stress-induced increase in Glt1

What these stories have begun to teach us

Glucocorticoid actions involve multiple mechanisms from the epigenome to rapid signaling and participate in many aspects of adaptive plasticity.

Structural plasticity is NOT necessarily DAMAGE and is reversible up to a point..... but that changes with age.

Stress effects involve more than glucocorticoids including molecules such as excitatory amino acids, CRF, BDNF, tPA, lipocalin-2 and endocannabinoids.

What does this say about therapies?

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Stress effects involve more than glucocorticoids including molecules such as excitatory amino acids, CRF, BDNF, tPA, lipocalin-2 and endocannabinoids.

Given the interacting nature of mediators and importance of behavior for plasticity, what strategies are best for stress-related disorders?

What to do? Top-down therapies

Interventions - evidence that they change brain structure and function

Regular physical activity

Increased hippocampal volume and PFC blood flow
and improved executive function and memory

Cognitive-behavioral therapy

Reducing anxiety decreases amygdala volume

Social support and integration

Experience Corps for elderly volunteers
Improved executive function, PFC blood flow and overall health

**Pharmaceutical agents as adjuncts to top down interventions
and facilitators of change**

What are the limits of brain plasticity?

The Antidepressant Fluoxetine Restores Plasticity in the Adult Visual Cortex

José Fernando Maya Vetencourt, *et al.*
Science **320**, 385 (2008);

Fluoxetine for motor recovery after acute ischaemic stroke (FLAME): a randomised placebo-controlled trial

François Chollet, Jean Tardy, Jean-François Albucher, Claire Thalamas, Emilie Berard, Catherine Lamy, Yannick Bejot, Sandrine Deltour, Assia Jaillard, Philippe Niclot, Benoit Guillon, Thierry Moulin, Philippe Marque, Jérémie Pariente, Catherine Arnaud, Isabelle Loubinoux

Facilitators of plasticity

Targeted behavior interventions

Corticosterone in drinking water mimics food restriction and fluoxetine treatment

ARTICLE

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DOI: 10.1038/ncomms1323

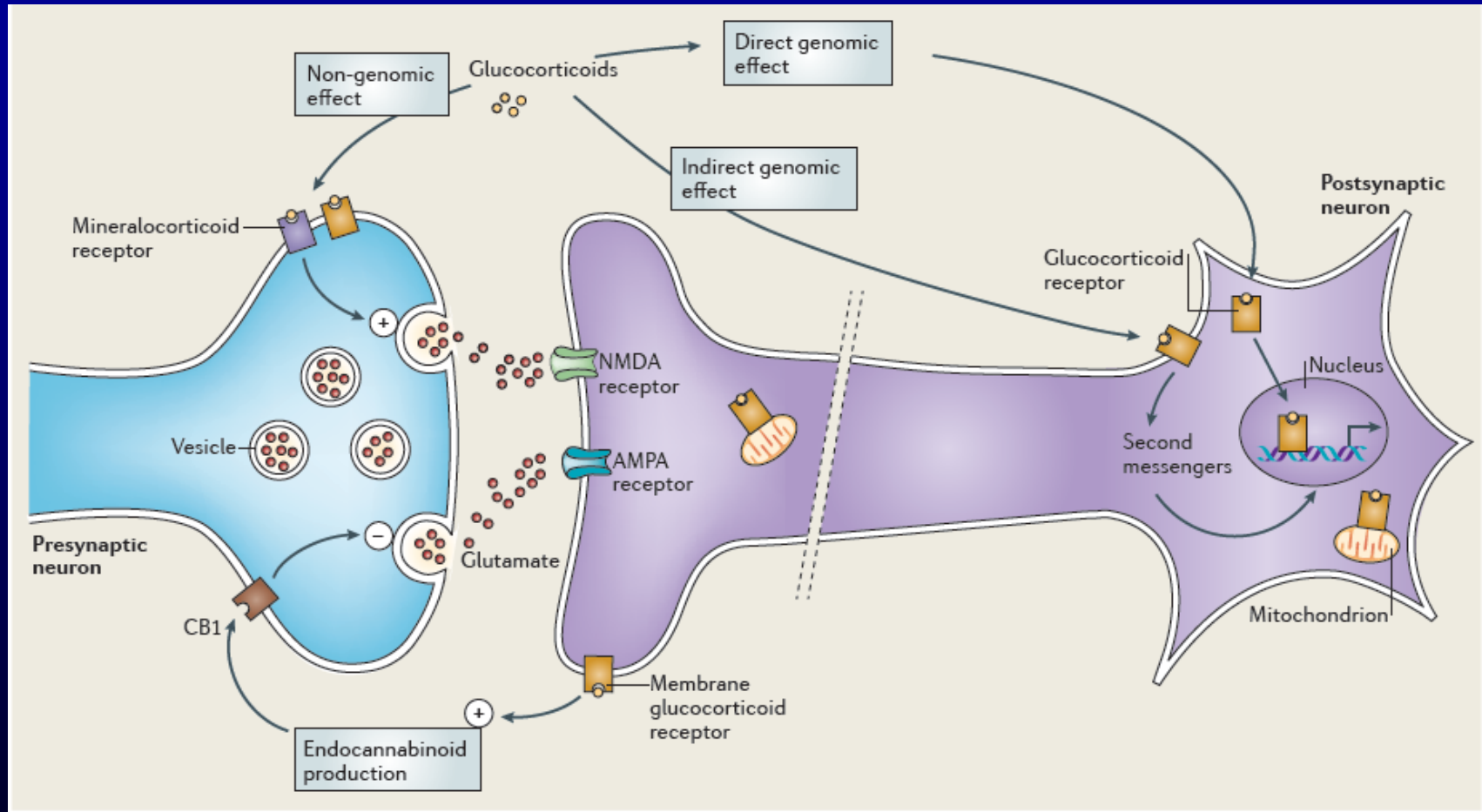
Food restriction enhances visual cortex plasticity in adulthood

Maria Spolidoro¹, Laura Baroncelli¹, Elena Putignano², José Fernando Maya-Vetencourt²,
Alessandro Viegi² & Lamberto Maffei¹

Neural circuits display a heightened sensitivity to external stimuli during well-established windows in early postnatal life. After the end of these critical periods, brain plasticity dramatically wanes. The visual system is one of the paradigmatic models for studying experience-dependent plasticity. Here we show that food restriction can be used as a strategy to restore plasticity in the adult visual cortex of rats. A short period of food restriction in adulthood is able both to reinstate ocular dominance plasticity and promote recovery from amblyopia. These effects are accompanied by a reduction of intracortical inhibition without modulation of brain-derived neurotrophic factor expression or extracellular matrix structure. Our results suggest that food restriction could be investigated as a potential way of modulating plasticity.

Diverse mechanisms of glucocorticoid action:

Non-genomic and genomic effects of glucocorticoids



Glucocorticoids are critical regulators of dendritic spine development and plasticity in vivo

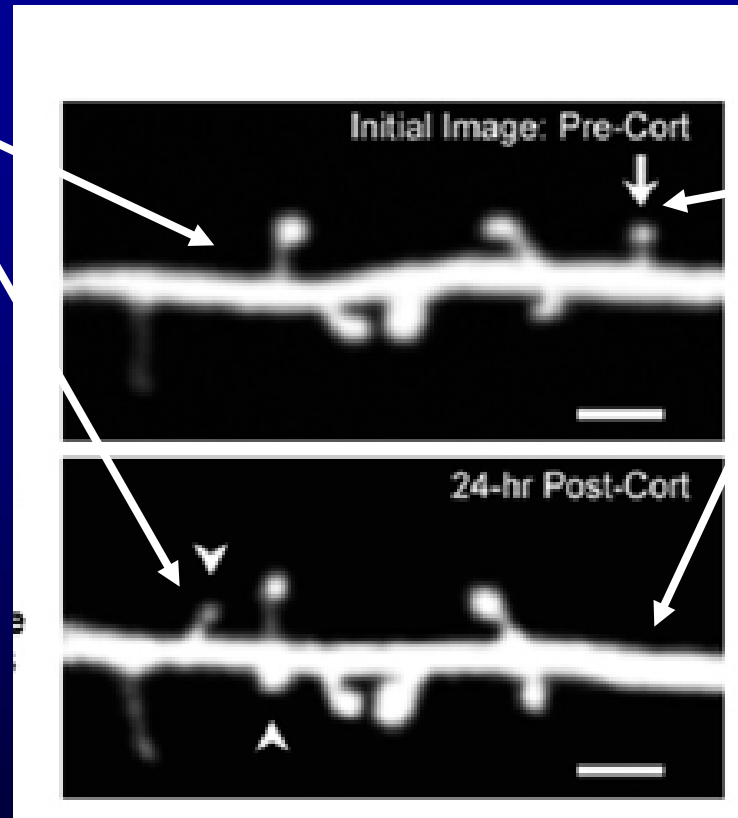
Conor Liston^{a,b,1} and Wen-Biao Gan^{a,1}

^aMolecular Neurobiology Program, Skirball Institute, Department of Physiology and Neuroscience, New York University School of Medicine, New York, NY 10016; and ^bDepartment of Psychiatry, Weill Cornell Medical College, New York, NY 10021

Edited by Bruce S. McEwen, The Rockefeller University, New York, NY, and approved August 12, 2011 (received for review July 1, 2011)

**Spine formed
over 24h**

**Spine
lost over
24h**



- Time course - hours

- Dexamethasone - reduces spine turnover;
- CORT restores

- MR - spine formation and elimination

- GR - spine formation

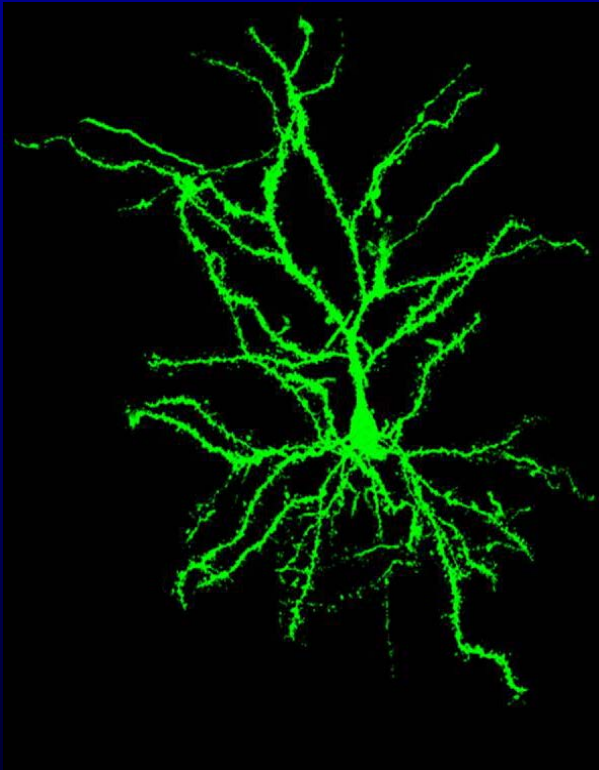
Higher CORT promotes
elimination over formation

In adults as well as in young

All accessible cortical regions

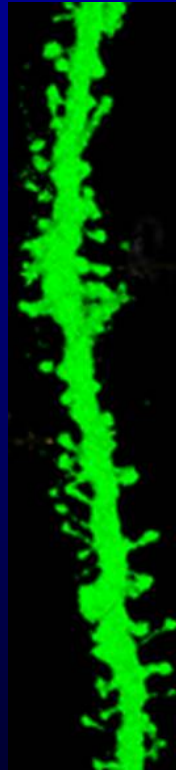
Looking to the Future

The adult brain shows plasticity and we are only beginning to recognize its potential!



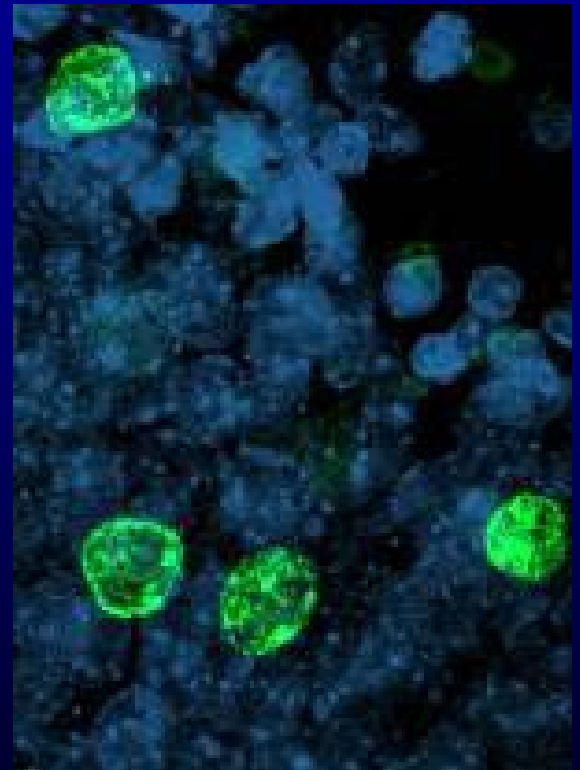
Dendrites

Shrink and expand



Synapses

Disappear and are replaced

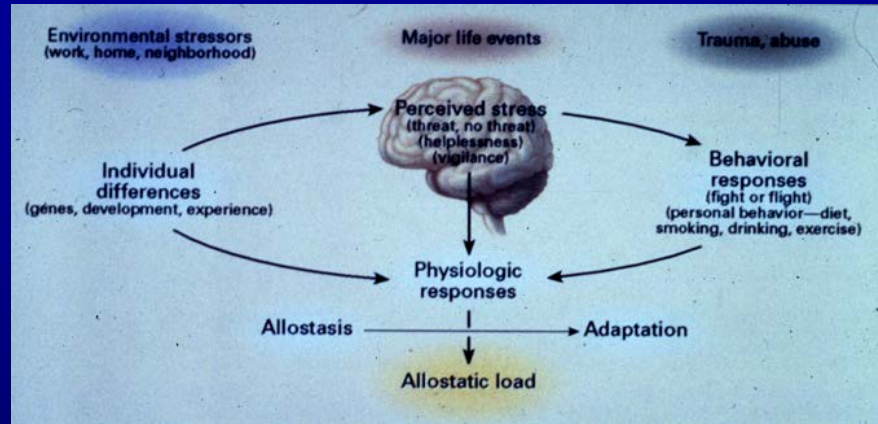


Neurogenesis

Continues in some brain areas

Conclusion: Social environment, brain, body and health

Non-linearity and biphasic actions



Biphasic effects and non-linearity –interactions of multiple mediators

Protection and damage by mediators of adaptation: cumulative change (allostatic load/overload)

Brain is a target and changes in brain architecture alter physiology and behavior

Powerful effects of social as well as physical environment

Biological embedding – early life; epigenetics; orchids and dandelions

Importance of “top down” interventions

Potential of brain plasticity

Breaking down silos of knowledge and practice!



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