# Risk Assessment – Recognizing Hormesis

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#### Disclaimer

- The views expressed in this presentation are those of the author and do not represent the policy of the U.S. EPA.
- And this author stole the interesting material from Joyce Donohue, Ph.D., R.D.

#### Research Assessment Management

**EPA Scientific Research/ Data Collection** 

- Animal Toxicology
- Human Studies
- in vitro
- •Computational Methods
- Monitoring/ Surveillance

**Risk Assessment** 

Dose-Response Assessment

Risk

Characteri

zation

Research Needs

Hazard Identification

Exposure / Assessment

**Risk Management** 

Treatment Technology

Risk
Management
Decisions

Costs/
Benefits

Collaboration

- Other Federal Agencies
- States/Local
- Academia
- Industry
- Public Interest/Environmental Groups

External Input into Research/ Assessment

## So What Is Science Policy?

 Science Policy is a means to carry on assessments in the absence of all the data one would wish

It is not witchcraft, arbitrary and capricious,

just made up



### Science Policy at EPA

- Defaults, methods, Guidelines
- Used when there are data gaps
- Set by Science Policy Council advised by Risk Assessment Forum, Programs, others
- Generally peer reviewed
- Lots of documentation, which is publicly available

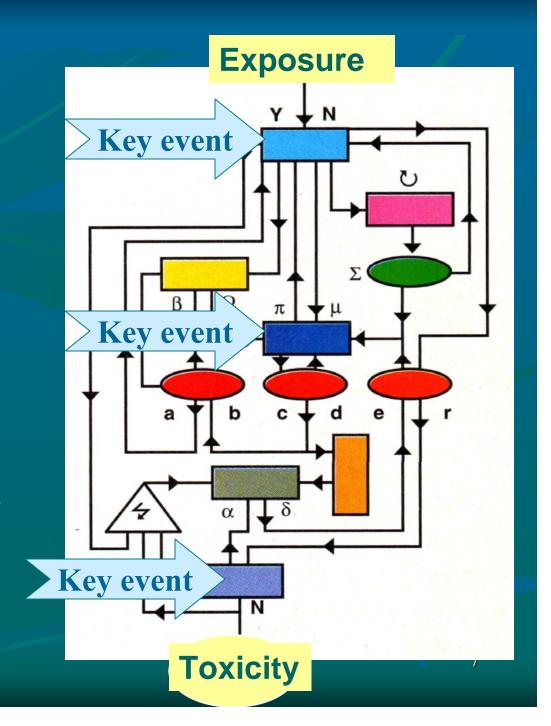
#### Cancer Guidelines — What's New

- Analyze data before invoking default options.
- Mode of action is key in decisions
- Weight-of-evidence narrative replaces the previous "A-B-C-D-E" classification scheme.
- Two step dose response assessment
  - Model in observed range
  - Extrapolate from point of departure
- Consider linear and non-linear extrapolation
- Address differential risks to children
  - Concurrent release of Supplemental Guidance for Assessing Cancer Risks from Early-life Exposures
- Supplemental Guidance will be revised periodically

"Mechanism of action"
(more detailed understanding at biochemical & molecular level)

"Mode of action"
(identification of key
& obligatory steps)

VS



#### What is Mode of Action?

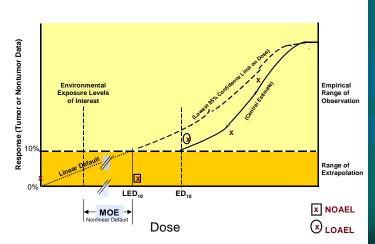
• . . . a sequence of key events and processes, starting with interaction of an agent with a cell, proceeding through operational and anatomical changes, and resulting in cancer formation. . . Mode of action is contrasted with "mechanism of action," which implies a more detailed understanding and description of events, often at the molecular level, than is meant by mode of action.

#### **Mode of Action Framework**

- Hypothesized MOA: summary description and identification of key events
- Experimental support:
  - Strength, consistency, specificity of association
  - Dose-response concordance
  - Temporal relationship
  - Biological plausibility and coherence
- Consideration of the possibility of other MOAs
- Relevance to humans

## Why Do You Care about MOA

- MOA is key in Hazard Identification
  - Helps describe circumstances under which agent is carcinogenic (High dose? Route?)
  - Relevance of data for humans
- MOA determines choice of Low Dose
   Extrapolation



#### And You Care About Kids

- Supplemental Guidance for Assessing
   Susceptibility from Early-Life Exposure to
   Carcinogens aka "Kid Guidance"
  - Effects observed in childhood

Early life exposures that contribute to later life effects

#### Kid Guidance — the Punch Line

- Use age-specific values for exposure and potency
- When data permit, develop separate potency estimates for childhood exposure
- In risk characterization, mutagenic MOA risk is increased by age-dependent adjustment factor (used with exposure info for age group)
  - <2 yrs old, 10 fold
  - 2 to < 16yrs, 3 fold
- No MOA, use linear extrapolation without ADAF;
   non-linear MOA, do not use ADAF

### Use of Default Options

Analyze all data before using defaults

Analyze the available data

Is there too much uncertainty or is critical information lacking?

Invoke a default option\*

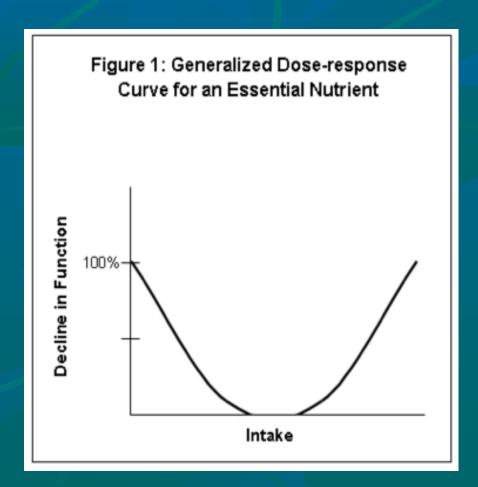
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\* "The primary goal of EPA actions is public health protection, accordingly, as an agency policy, the defaults used in the absence of scientific data to the contrary should be <u>health protective</u> (SAB 1999)."

## Nutritionally Active Chemicals and Water

- Essential trace elements
  - e.g. Cu, Zn, Se, F, Cr, Mn, Fe
- Nonessential intermediary metabolites
  - Formaldehyde, acetaldehyde, glyoxal, Meglyoxal
- Alcohols, aldehydes and ketones that generate
   ATP
  - Ethanol, acetone, isopropyl alcohol
- Electrolytes (Na, SO<sub>4</sub>)

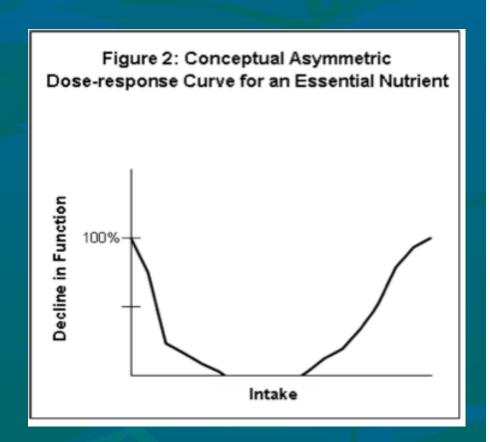
### The Hormetic Dose Response



- The typical U-shaped DR curve does not show the severity of effect or organ system involved
- Endpoints are not necessarily symmetric

## Conceptual Asymmetric DR Curve for Essential Nutrients

- Slopes of DR for endpoints may vary
- Progression from one measure of impairment to another may not be smooth.



## Toxicity – What Is Acceptable?

- Only defined in law for cancer risk
  - Depending on statute and population, range is 10<sup>-7</sup> to 10<sup>-4</sup>
- For non-linear dose response, generally a safety assessment
  - RfDs, TDIs, ADIs, MRLs try to define level with no appreciable risk of deleterious effect
- For linear dose response for non-cancer . . . ?

## Nexus of Nutrition and Toxicity

- Not likely to consider hormesis for mutagenic MOA carcinogens
- Point of departure for both will be some biomarker for adverse effect
  - Deficiency or
  - Toxicity
- Dietary Reference Intakes and toxicity (safety)
   assessment both proceed under data limitations and
   apply some variation of uncertainty factors
  - But adjustments are in the opposite direction

#### **IOM Nutritional Guidelines**

- RDA: avg daily intake sufficient for nutrient requirement of 97-98% of healthy people (within life-stage, gender).
- EAR: avg daily intake to meet requirement for specified indicator of adequacy for 50% of healthy people (within life-stage, gender).
- AI: avg daily dietary intake for healthy people (within life-stage, gender). Done when insufficient data for RDA
- Tolerable Upper Intake Level

## Differences between Nutritional and Toxicity Guidelines

- Nutritional
  - Directed toward specific life-stage, gender
  - Designed for healthy population
  - Daily intake
  - Developed only for necessary nutrients
- Toxicity
  - Specific exposure duration
  - Exposure in mg/kg body weight/day
  - Developed for any material likely to be toxic

#### Some Difficulties

- Differing philosophies of nutrition and toxicology communities complicates process of setting reasonable intake guidelines for essential nutrients
- If standard risk assessment procedures are applied without consideration of MOA, can get RfDs close to the RDA

## Comparison: RfD and DRI

Table 1: Comparison of the Reference Dose with the Adults Recommended Dietary Allowance or Adequate Intake for Selected Trace Mineral Nutrients

Nutrient	RfD mg/kg/day	RDA or AI*	
		Adult mg/kg/day	Child (Age 1-3) mg/kg/day
Boron	0.2	NE	NE
Chromium (III)	<mark>1.5</mark>	0.0005*	0.0009*
Fluoride	0.06	0.05*	0.07*
Manganese	0.14	0.03	0.1
Molybdenum	0.005	0.0006	0.02
Nickel	0.02	NE	NE
Selenium	0.005	0.0007	0.002
Zinc	0.3	0.2	0.2

NE = None established

Body weights used for dose conversion: 76 Kg for adults; 13 kg for a child.

Values for the RDA/AI have been rounded to one significant figure.

#### Whence Came these RfDs?

- RfDs for F, Mo, Mn, Se all based on human data
- All UF adjusted to avoid RfD lower than RDA or AI

## RfD Examples

- Mn, POD is NOAEL for dietary study; no UF, even though this would be SOP. But would have resulted in RfD < RDA.</p>
- Zn, several short term studies, LOAEL. UF of 300 would have been standard, but UF = 3, so RfD would not be < RDA</p>
- Common sense vs common practice

## RfD Examples: Comparison with Dietary Intake

#### Bo

- RfD = 0.2 mg/kg/day (rat fetal wt decrease)
- Dietary intake = 0.01to 0.019 mg/kg/day
- UL = 0.33 mg/kg/day (for pregnant females)

#### ■ Ni

- RfD = 0.02 mg/kg/day = 20 ug/kg/day (rat  $\downarrow$  BW)
- Dietary intake = 1.1 to 5.8 ug /kg /day
- No UL

## Why Are UL # RfDs?

- ULs are somewhat higher than RfDs
- Slightly different methodology
  - IOM uses UF based on professional judgment
  - EPA uses log<sub>10</sub> or fractions thereof
    - Which is subject to the evolution of data derived UF
- IOM (1998) opined that large human database on levels of nutrients in foods allows for relatively low UF.

## Joyce Recommends 1

- Consider whether nutrient is essential
- Determine range of dietary intake accommodated by homeostatic controls
- Use data-derived uncertainty factors rather than defaults

## Joyce Recommends 2

- Avoid conflict between normal intake / nutritional guidance and safety assessment
  - Consider scenarios for which toxicity value does not apply
    - Describe those exceptions or
    - Use dietary intake data to determine uncertainty factors
- Recognize that non-essential nutrients in excess can have adverse effects
- Differentiate between point of contact effects and toxic dose

## Joyce Recommends 3

- Consider relative source contribution
  - RfD is for the entire oral exposure
  - DRIs may benefit from consideration of source
  - Risk management should consider role of biouptake, bioaccumulation of mineral nutrients / contaminants
- Collaborate

## Difficulties in Making Informed Adjustments to RfD

- Data at low dose are lacking for most chemicals other than essential nutrients
- Data are unlikely to be forthcoming
  - Would require major modifications to standard study designs some of which are enshrined in either policy or regulation
  - Difficult to evaluate if a chemical is having a good effect in a standard testing protocol
  - Positive change in one parameter may be outweighed by negative change in another.

## Questions?

Please ask Beth Doyle or Joyce Donohue